



**PROGRESS REPORT ON  
PROPOSED AMENDMENTS TO THE SYSTEM  
OPERATOR – TRANSMISSION OWNER CODE**  
*November 2010*

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**ANNEX 1 - STC AMENDMENT REGISTER AS AT 7<sup>th</sup> December 2010**

## **1 INTRODUCTION**

This Progress Report is prepared in accordance with Section B, Paragraph 7.2.8 of the STC and provides inter alia, details of current Amendment Proposals and matters arising during the preceding month together with details of key decisions made at the STC Committee meeting held on 23<sup>rd</sup> November 2010 regarding the prioritisation and timetabling of Amendment Proposals.

## **2 DETAILS OF AMENDMENT PROPOSALS REFUSED**

This section details Amendment Proposals refused by the Committee Secretary or by the STC Committee (in accordance with Section B, Paragraph 7.2.2.2 of the STC).

- None

## **3 NEW AMENDMENT PROPOSALS**

- None

## **4 AMALGAMATIONS**

This section contains details of any decision of the STC Committee to amalgamate Amendment Proposals in accordance with Section B, Paragraph 7.2.3.1 of the STC.

- None

## 5 SCHEDULING AND TIMETABLES

This section of the Progress Report is intended to give a high level timetable for each Amendment Proposal in progress. Specific milestone dates and key phase dates will be entered when the information is available. The shaded area is intended to show progress up

Ref.	TITLE	Presented at STC Committee Meeting	Evaluation Phase Concluded	Proposed Amendment Report Approved	Proposed Amendment Report Published	Consultation Period Concluded	Amendment Report Submitted to Authority	Authority Determination	Date of Implementation	Comments on changes, slippages and impact on implementation date
CA033	Housekeeping	23/06/09	N/A	25/08/09	26/08/09	08/09/09	29/09/09	<b>Withdrawn 03/11/09</b>		At the June STC Committee meeting, the members were presented with a House keeping Amendment Proposal for the correction of referencing. CA033 has been re- issued to the STC Committee to include Offshore changes. The STC Committee approved the Proposed Amendment Report to go out for industry consultation on 2nd September 2009 for five days. At the September 29th STC Committee meeting, the STC Committee agreed for the CA033 Amendment Report to be submitted to the Authority. The Report was submitted to the Authority on the 29th September 2009. A revised issue dated 26th October 2009 was sent to the Authority with a covering letter to request that the Authority consent changes to be made to the STC with reference to be paragraph numbering is awaiting a decision. CA033 was Withdrawn on the 3rd November 2009. <b>CA033 was replaced with a request to the Authority under the Transmission Licence SLC B12 paragraph 7 (b) (iii). The Authority approved the Housekeeping changes on 5<sup>th</sup> August 2010 and the changes were implemented on 12<sup>th</sup> October 2010.</b>

Ref.	TITLE	Presented at STC Committee Meeting	Evaluation Phase Concluded	Proposed Amendment Report Approved	Proposed Amendment Report Published	Consultation Period Concluded	Amendment Report Submitted to Authority	Authority Determination	Date of Implementation	Comments on changes, slippages and impact on implementation date
CA038	<b>Transitional election arrangements for Offshore Transmission Owners</b>	25//05/10	N/A	27/07/10	27/07/10	11/08/10	29/09/10	<b>01/11/10</b>	<b>08/11/10</b>	CA038 was submitted to May STC Committee meeting without the legal text for consideration. The proposed amendment seeks to put in place a Transitional Period within the Governance (section B) to allow Offshore Transmission Owners (OFTOs), to be represented on the STC Committee. Consideration is currently being given as to whether the proposal should proceed through the normal governance arrangements or go through the urgent amendment proposal route. The Authority has confirmed the Amendment Proposal should proceed though the normal governance process. The Draft Proposed Amendment Report was circulated to the Committee members for comment on the 22 <sup>nd</sup> June 2010. At the July STC Committee meeting the proposed Amendment incorporating comments from the committee was approved for a 10 day industry consultation period, issued on the 27th July. Four responses to the consultation had been received in support of CA038. The draft Amendment Report was considered by the August STC Committee. The Committee requested minor changes to be made before submitting to the Authority. The Amendment Report was submitted to Authority on 29 <sup>th</sup> September 2010 for decision. <b>The Authority approved CA038 on 1<sup>st</sup> November 2010 with an implementation date of 8<sup>th</sup> November 2010.</b>

Ref.	TITLE	Presented at STC Committee Meeting	Evaluation Phase Concluded	Proposed Amendment Report Approved	Proposed Amendment Report Published	Consultation Period Concluded	Amendment Report Submitted to Authority	Authority Determination	Date of Implementation	Comments on changes, slippages and impact on implementation date
CA039	<b>Outage planning Development</b>	27/07/10	21/09/10	26/10/10	27/10/10	10/11/10				CA039 was submitted to the July STC Committee meeting for discussion. The Committee agreed for CA039 to go to Evaluation Stage to develop and approve the legal text. Evaluation Phase concluded on 21 <sup>st</sup> September 2010. At the September STC Committee meeting the members approved CA039 to proceed to Assessment and Report Phase. At the October 2010 STC Committee meeting, CA039 was approved to go to industry consultation on 27 <sup>th</sup> October 2010, for 10 working days. <b>One response to the consultation was received.</b>
CA040	<b>Environmental Assessment and the Relevant Objectives</b>	28/09/10	N/A	12/10/10	12/10/10	19/10/10	26/10/10	30/11/10	06/12/10	CA040 was submitted to the September STC Committee meeting for discussion. The Committee agreed for CA040 to proceed to Assessment and Report phase. Following email approval by the STC Committee on 12 <sup>th</sup> October 2010, an industry consultation was published for 5 working days. No responses were received and the Amendment Report was submitted to the Authority on 26 <sup>th</sup> October 2010. <b>The Authority approved CA040 on 30<sup>th</sup> November 2010 with in an implementation date of 6<sup>th</sup> December 2010.</b>

Ref.	TITLE	Presented at STC Committee Meeting	Evaluation Phase Concluded	Proposed Amendment Report Approved	Proposed Amendment Report Published	Consultation Period Concluded	Amendment Report Submitted to Authority	Authority Determination	Date of Implementation	Comments on changes, slippages and impact on implementation date
CA041	<b>Inclusion of Transmission Owner Reinforcement Instructions in Transmission Owner Construction Offer and Definition Amendments</b>	28/09/10	N/A							CA041 was submitted to September STC Committee for discussion. The Committee agreed to feedback comments prior to the next meeting. <b>At November's STC meeting, the Committee agreed for CA041 to proceed to Assessment &amp; Report Phase.</b>
CA042	<b>Offshore Transmission System Compliance &amp; Testing</b>	28/09/10	N/A							CA042 was submitted to the September STC Committee meeting for discussion. The Committee agreed for CA042 to proceed to Assessment & Report Phase.

## 6 AUTHORITY DECISIONS

- The Authority approved CA038 on 1<sup>st</sup> November 2010 and it was implemented on 8<sup>th</sup> November 2010.
- The Authority approved CA040 on 30<sup>th</sup> November 2010 and it will be implemented on 6<sup>th</sup> December 2010.

**ANNEX 1**  
**STC AMENDMENT REGISTER – AS AT 7<sup>th</sup> December 2010**

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All documents referred to in the Amendment Register are available on the National Grid STC website.

<b>CA033</b>		<b>HOUSEKEEPING</b>	
<b>Proposer</b>	National Grid	<b>Submitted</b>	Normal
		<b>Submitted</b>	<b>Priority</b>
		23/06/09	
<b>Amendment Description</b>		<b>Current status and progress - WITHDRAWN</b>	
<p>House keeping Amendment proposal for Correction of referencing error. Section H, Paragraph 4.3.12 refers to Section E, paragraph 4.1 and requires correction to read Section E, paragraph 5.1.</p>		<p>At the June STC Committee meeting, the members were presented with a House keeping Amendment Proposal for the correction of referencing. CA033 has been re-issued to the STC Committee to include Offshore changes. The STC Committee approved the Proposed Amendment Report to go out for industry consultation on 2nd September 2009 for five days. At the September 29th STC Committee meeting, the STC Committee agreed for the CA033 Amendment Report to be submitted to the Authority. The Report was submitted to the Authority on the 29th September 2009. A revised issue dated 26th October 2009 was sent to the Authority with a covering letter to request that the Authority consent changes to be made to the STC with reference to be paragraph numbering is awaiting a decision. CA033 was Withdrawn on the 3rd November 2009. <b>CA033 was replaced with a request to the Authority under the Transmission Licence SLC B12 paragraph 7 (b) (iii). The Authority approved the Housekeeping changes on 5<sup>th</sup> August 2010 and the changes were implemented on 12<sup>th</sup> October 2010.</b></p>	

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**CA038**

**TRANSITIONAL ELECTION ARRANGEMENTS FOR OFFSHORE TRANSMISSION OWNERS**

<b>Proposer</b>	National Grid	<b>Submitted</b>	<b>Submitted</b>	<b>Normal</b>
		18/05/10	<b>Priority</b>	

**Amendment Description**

CA038 Transitional election arrangements for Offshore Transmission owners. The amendment proposes to amend Paragraph 6 of Section B (Governance) to create a 'Transitional Period' in which Offshore Transmission Owners (OFTOs) are able to be represented on the STC Committee for the periods which Annex B1 of Section B does not allow.

**Current status and progress**

CA038 was submitted to May STC committee meeting without the legal text for consideration. Consideration is currently being given as to whether proposal should proceed through the normal governance arrangements or go through the urgent amendment proposal route. The Authority has confirmed the Amendment Proposal should proceed through the normal governance process. The Draft Proposed Amendment Report was circulated to the Committee members for comment on the 22nd June 2010. At the July STC Committee meeting the proposed Amendment incorporating comments from the committee was approved for a 10 day industry consultation period, issued on the 27th July. Four responses to the consultation had been received in support of CA038. The draft Amendment Report was considered by the August STC Committee. The Committee requested minor changes to be made before submitting to the Authority. The Amendment report was submitted to the September STC Committee for approval. Following approval, it was submitted to the Authority on 29<sup>th</sup> September 2010. **The Authority approved CA038 on 1<sup>st</sup> November 2010 with an implementation date of 8<sup>th</sup> November 2010.**

**CA039**

**OUTAGE PLANNING DEVELOPMENT**

<b>Proposer</b>	National Grid	<b>Submitted</b>	<b>Submitted</b>	<b>Normal</b>
		27/07/10	<b>Priority</b>	

**Amendment Description**

CA039 Outage Planning Development. The amendment proposal seeks to put in place a clause within Section C of the STC to ensure the TO's provide outage planning information for the following financial year and the subsequent year. This will allow NGET to coordinate outage plans for all Transmission Owners further ahead to help reduce constraint costs

**Current status and progress**

CA039 was submitted to the July STC Committee meeting for discussion. The Committee agreed for CA039 to go to Evaluation Stage to develop and approve the legal text. The Evaluation Phase concluded on 21<sup>st</sup> September 2010. At the September STC Committee meeting the members approved for CA039 to proceed to Assessment and Report Phase. At the October 2010 STC Committee meeting CA039 was approved to go to industry consultation on 27<sup>th</sup> October 2010, for 10 working days. **One response to the consultation was received.**

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<b>CA040</b>		<b>ENVIRONMENTAL ASSESSMENT AND THE RELEVANT OBJECTIVES</b>			
<b>Proposer</b>	National Grid	<b>Submitted</b>	28/09/10	<b>Submitted Priority</b>	Normal
<b>Amendment Description</b>		<b>Current status and progress</b>			
<p>This Amendment Proposal is part of a series of code proposals raised by National Grid to implement the Final Proposals of the wider Code Governance Review which was initiated by Ofgem in November 2007.</p> <p>As part of the suite of work strands conducted by the Code Governance Review, it was proposed that the relevant code panels carry out an assessment of the impact on Greenhouse Gas Emissions as part of their deliberations on whether to recommend acceptance or rejection of a modification proposal.</p> <p>Consequently it is proposed to amend Section B of the STC (Governance) to place obligations on the Amendment Proposer and the STC Committee Secretary to give due consideration to the impact of greenhouse gas emissions for all Amendment Proposals.</p>		<p>CA040 was submitted to the September STC Committee meeting for discussion. The Committee agreed for CA040 to proceed to Assessment and Report phase. Following email approval by the STC Committee on 12<sup>th</sup> October 2010, an industry consultation was published for 10 working days. No responses were received and the Amendment Report was submitted to the Authority on 26<sup>th</sup> October 2010. <b>The Authority approved CA040 on 30<sup>th</sup> November 2010 with in an implementation date of 6<sup>th</sup> December 2010.</b></p>			

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<b>CA041</b>		<b>INCLUSION OF TRANSMISSION OWNER REINFORCEMENT INSTRUCTIONS IN TRANSMISSION OWNER CONSTRUCTION OFFER AND DEFINITION AMENDMENTS</b>			
<b>Proposer</b>	National Grid	<b>Submitted</b>	28/09/10	<b>Submitted Priority</b>	Normal
<b>Amendment Description</b>		<b>Current status and progress</b>			
<p>It is proposed to amend Schedule Eight – ‘TO Construction Offer’ of the STC such that a Transmission Owner submits to NGET the latest Transmission Owner Reinforcement Instruction (TORI) or confirmation that the current TORI remains unchanged with the Transmission Owner Construction Offer (TOCO).</p> <p>Submission of the TORI will assist NGET to incorporate the correct information into the relevant User Connection Offer in accordance with the CUSC.</p> <p>It is also proposed to amend Section J ‘Interpretation and Definitions’ within the STC such that a TORI is defined.</p>		<p>CA041 was submitted to September STC Committee for discussion. The Committee agreed to feedback comments prior to the next meeting. <b>At November’s STC meeting, the Committee agreed for CA041 to proceed to Assessment &amp; Report Phase.</b></p>			

<b>CA042</b>		<b>OFFSHORE TRANSMISSION SYSTEM COMPLIANCE &amp; TESTING</b>			
<b>Proposer</b>	National Grid	<b>Submitted</b>	28/09/10	<b>Submitted Priority</b>	Normal
<b>Amendment Description</b>		<b>Current status and progress</b>			
<p>The amendment proposes to amend the STC such that the Offshore Transmission Owner (OFTO) must cooperate and demonstrate to National Grid, compliance of the technical design and operation of its transmission system in accordance with Section K of the STC.</p>		<p>CA042 was submitted to the September STC Committee meeting for discussion. The Committee agreed for CA042 to proceed to Assessment and Report Phase.</p>			