

**VERSION FOR INDUSTRY
CONSULTATION**

Responses should be sent to
bali.virk@uk.ngrid.com
on or before
12th September 2007

PROPOSED AMENDMENT REPORT

STC Proposed Amendment CA026

**Exchange of Data Amongst Relevant
Parties in Schedules 5, 6, 7, 11 and 13**

*The purpose of this report is to assist the Authority
in their decision of whether to implement
Amendment Proposal CA026*

Amendment Ref	CA026
Issue	1.0
Date of Issue	29 th August 2007
Prepared by	STC Committee

I DOCUMENT CONTROL**a STC Document Control**

Version	Date	Author	Change Reference
0.1	13/03/07	STC Committee	Draft for STC Committee
1.0	29/08/07	STC Committee	Draft for Industry Consultation

b Document Location

National Grid Website:

<http://www.nationalgrid.com/uk/Electricity/Codes/sotocode/>

c Distribution

Name	Organisation
The Gas and Electricity Markets Authority	Ofgem
STC Parties	Various
Interested Parties	Various
Core Industry Document Owners	Various
National Grid Industry Information Website	

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1.0 SUMMARY AND RECOMMENDATION

- 1.1 STC Amendment Proposal CA026 seeks to modify the STC to explicitly permit the exchange of the relevant set of Planning Assumptions to the Transmission Owners. In addition the proposal seeks to amend the STC such that Other Affected Transmission Owners are permitted to receive the same data as the Transmission Owner in order to accurately assess a User Application.
- 1.2 Amendment Proposal CA026 was proposed by National Grid Electricity Transmission plc ("National Grid") and submitted for consideration to the STC Committee Meeting on Tuesday, 20th February 2007. The Committee recommended that it proceed directly to the Assessment and Report Phase.

STC Committee Provisional Recommendation

- 1.3 The STC Committee provisionally recommends that CA026 be approved for implementation.
- 1.4 Should the Authority approve Amendment Proposal CA026, the STC Committee provisionally recommends that the STC be modified 5 business days after the Authority's decision

2.0 PURPOSE AND INTRODUCTION

- 2.1 This Proposed Amendment Report has been prepared and issued by the STC Committee under the rules and procedures specified in the System Operator – Transmission Owner Code. It addresses issues relating to the exchange of data to the Transmission Owner and Other Affected Transmission Owners which is required to accurately assess a User Application.
- 2.2 Further to the submission of Amendment Proposal CA026 (see Annex 1), this document is addressed and furnished to persons who have a relevant interest in the Proposed Amendment and invites views upon Amendment Proposal CA026.
- 2.3 This document outlines the nature of the STC changes that are proposed. It incorporates the STC Committee's provisional recommendation concerning the Amendment.
- 2.4 This Proposed Amendment Report has been prepared in accordance with the terms of the STC. An electronic copy can be found on the National Grid website, at <http://www.nationalgrid.com/uk/Electricity/Codes/sotocode/>.

3.0 THE PROPOSED AMENDMENT

- 3.1 The STC currently allows National Grid as GB System Operator to, as a consequence of a User Application, provide a set of Construction Planning Assumptions for use by each Transmission Owner to assist in the preparation of TO Construction Offers.
- 3.2 Schedule 3 of the STC sets out the information and data permitted to be disclosed by a STC Party to a Transmission Owner in accordance with Section F of the STC. The exchange of Construction Planning Assumptions is understood to be permitted because the relevant data will be, if it is not

already, published in the Seven Year Statement (SYS). However the mechanism for the exchange of the Construction Planning Assumptions is currently not clearly defined within the STC.

- 3.3 Schedule 3 permits the disclosure of data specified in Schedules 5, 6 or 7 (and forming part of a NGET Construction Application) or Schedules 11 or 13. It is proposed to explicitly specify in Schedules, 5, 6 and 7 that Construction Planning Assumptions may be exchanged which will remove the current ambiguity relating to the mechanism through which such assumptions may be exchanged. Similarly, Schedules 11 and 13 would be amended to specify the exchange of TEC Exchange Planning Assumptions and Statement of Works Planning Assumptions respectively.
- 3.4 Currently the Other Affected Transmission Owners receive limited information to accurately assess the data flows on their Transmission System of a User Application which may potentially result in incorrect investment planning decisions.
- 3.5 It is proposed to amend Schedules 5, 6, 7 and 13 to permit the disclosure to Other Affected Transmission Owners of the intended Transmission Entry Capacity of the User and the User's Standard Planning Data. This will ensure that the Other Affected Transmission Owners has sufficient technical information to conduct an accurate assessment of the relevant application and provide National Grid with a comprehensive offer.
- 3.6 These proposals would require amendments to Schedules 5, 6, 7, 11 and 13 such that it permits National Grid to provide the relevant sets of Planning Assumptions to the Transmission Owner and Other Affected Transmission Owners as permitted by Schedule 3.
- 3.7 The final proposed legal text to give effect to Amendment Proposal CA026 is attached as Annex 2 of this document.

4.0 ALTERNATIVE AMENDMENTS

- 4.1 No Alternative Amendments to CA026 were submitted.

5.0 EVALUATION PHASE

- 5.1 The STC Committee considered that CA026 should be referred directly to the Assessment and Report Phase, and that an Evaluation Phase was not therefore required. In arriving to this decision, the STC Committee noted that the proposal had been discussed and agreed by the Joint Planning Committee (JPC).

6.0 STC PARTIES' ASSESSMENTS

- 6.1 This section sets out the analysis and impact assessment ("Assessment") provided by STC Parties during the Assessment and Report Phase in respect of the Proposed Amendment, in accordance with Section B, Paragraph 7.2.5.2 of the STC.

National Grid Assessment

- 6.2 National Grid is supportive of Amendment Proposal CA026, and has carried out an Assessment on the Proposed Amendment.
- 6.3 The implementation of CA026 would not have any physical impact on National Grid's Transmission System or require changes to IS systems. No additional works or monies would be required to implement the proposed change.
- 6.4 However, National Grid believes that it more relevant to consider the implications of the amendment not being approved. In National Grid's opinion, the lack of appropriate data available to the Transmission Owners and Other Affected Transmission Owners to assess the data flows on their Transmission System of a User Application may potential result inaccurate investment decisions, either over or under investment, and significant risk of not meeting the criteria set out in the GB SQSS.

Scottish Hydro-Electric Transmission Limited ("SHETL") Assessment

- 6.5 SHETL is supportive of Amendment Proposal CA026, and has carried out an Assessment on the Proposed Amendment.
- 6.6 The implementation of CA026 would not have any physical impact on SHETL's Transmission System or require changes to IS systems. No additional works or monies would be required to implement the proposed change.

Scottish Power Transmission Limited ("SPTL") Assessment

- 6.7 SPTL is supportive of Amendment Proposal CA026 and has carried out an impact assessment.
- 6.8 SPTL confirms that there is no direct or short term impact on the SP Transmission network, nor would changes be required to be made to IT systems currently in place.
- 6.9 SPTL is in agreement that there is more relevance in considering the implications of no change being made to the existing arrangements and concurs that the accuracy of investment decisions may be compromised by the lack of appropriate data currently provided to the Transmission Owners.

7.0 IMPACT ON THE STC

- 7.1 The Proposed Amendment would require amendments to Schedules 5 (NGET Connection Application), 6 (NGET Modification Application), 7 (System Construction Application), 11 (TEC Exchange Rate Application) and 13 (NGET Requests for Statement of Works) of the STC such that it permits National Grid to provide the relevant sets of Planning Assumptions to the Transmission Owner as permitted by Schedule 3 (Information and Data Exchange Specification).
- 7.2 The Proposed Amendment would require amendments to Schedules 5, 6, 7, and 13 such that it permits National Grid to provide the relevant sets of Planning Assumptions to the Other Affected Transmission Owners as permitted by Schedule 3.

- 7.3 The text required to give effect to the Proposed Amendment is contained as Annex 2 of this document.

8.0 IMPACT ON CORE INDUSTRY DOCUMENTS

- 8.1 The Proposed Amendment will have a consequential impact on PC1.1 and GC12.2 of the Grid Code which permits the transfer of User data to the Relevant Transmission Licensee as specified by the STC as at 1st April 2005. At the time of BETTA Go-Live a specific reference to the 1st April 2005 version of Schedule 3 of the STC was placed in the Grid Code. As such, any amendments proposed to Schedule 3 of the STC, with respect to the exchange of User Data, would require a consequential amendment to the Grid Code.
- 8.2 An Extraordinary Grid Code Review Panel (GCRP) meeting was held on 31st July 2007 to discuss an amendment to the Grid Code such that it is reflective of the STC provisions for the exchange of User Data. At this meeting it was agreed that an interim solution would be progressed to amend the Grid Code to include a reference to the most relevant version of the STC. It was also agreed to progress discussions between the STC Committee and the GCRP regarding an enduring solution due to the fact that the governance arrangements for both codes are currently incompatible.
- 8.3 The Proposed Amendment would have no impact on any other Core Industry Documents or other industry documentation apart from the Grid Code, or require any changes to computer systems established under Core Industry Documents.

9.0 STC COMMITTEE VIEWS AND RECOMMENDATION

- 9.1 The STC Committee believes that amendment of the STC on the basis on CA026 would better facilitate achievement of the applicable STC objectives, in particular applicable STC objective (b) in that the facilitation through the STC to facilitate the disclosure of all technical information required to accurately assess a User Application would enable licensees to develop, maintain and operate an efficient, economical and coordinated system of electricity transmission.
- 9.2 The implementation of such provisions would also better facilitate achievement of applicable STC objective (a) as they would enable the efficient discharge by Scottish Transmission Owners of the obligations imposed upon them by their transmission licences and the Act, in particular the terms of Licence Conditions D2 which obliges them to provide transmission services to the GB System Operator.
- 9.3 Furthermore the implementation of CA026 would also better facilitate achievement of applicable STC objective (e), as the removal of the ambiguity regarding the mechanism by which the exchange of the relevant sets of Planning Assumptions is permitted would enable licensees to promote good industry practice and efficiency in the implementation and administration of the arrangements described in the STC.
- 9.4 The STC Committee therefore provisionally recommends that the Authority should approve Amendment Proposal CA026 for implementation.

10.0 IMPLEMENTATION AND TIMESCALES

- 10.1 Should the Authority approve CA026, the STC Committee provisionally recommends that the STC be modified 5 business days after the Authority's decision.

11.0 INDUSTRY VIEWS AND REPRESENTATIONS

- 11.1 Views are invited from industry parties upon the Proposed Amendment outlined in this Proposed Amendment Report.
- 11.2 Any representations received will be summarised in Section 11 of the Amendment Report submitted to the Authority (version 2.0 of this document), and will be reproduced in Annex 3.

Annex 1 - Amendment Proposal Form**STC Amendment Proposal Form**
CA026

<p>1. <u>Title of Amendment Proposal</u> Exchange of Data Amongst Relevant Parties in Schedules 5, 6, 7, 11 and 13.</p>
<p>2. <u>Description of the Proposed Amendment</u> <i>(mandatory field)</i></p> <p>Allow the transfer of the Construction Planning Assumptions to the Transmission Owners (TOs) in Schedules 5, 6, 7, 11 and 13 as permitted by Schedule 3.</p> <p>Allow the same data to be transferred to the Other Affected TO (e.g. Section D, Part 2, Paragraph 2.2.3) as provided to the Affected TO (e.g. Section D, Part 2, Paragraph 2.2.2) in Schedules 5, 6, 7 and 13 as permitted by Schedule 3.</p>
<p>3. <u>Description of Issue or Defect that Proposed Amendment seeks to Address</u> <i>(mandatory field)</i></p> <p>Currently Schedules 5, 6, 7, 11 and 13 do not allow the transfer of the Construction Planning Assumptions to the TO. The amendment seeks to allow this transfer of data which is permitted by Schedule 3 to ensure that the TO has sufficient technical information to complete an accurate assessment of the relevant application and submitted a comprehensive offer to National Grid.</p> <p>The lack of appropriate data transfer to the Other Affected TO in Schedule 5, 6, 7 and 13 does not permit an accurate assessment of the data flows on their Transmission System, which may result in incorrect investment planning decisions. It is proposed that the same data provided by NGET to the Affected TO as outlined in Schedules 5, 6, 7 and 13, is submitted to the Other Affected TO. This would ensure that the Other Affected TO have sufficient technical information to conduct an accurate assessment of the relevant application and provide NGET with a comprehensive offer. This data exchange is permitted by Schedule 3.</p>
<p>4. <u>Impact on the STC</u> <i>(information should be given where possible)</i> Changes to Schedules 5, 6, 7, 8, 11 and 13 will be required.</p>
<p>5. <u>Impact on other frameworks e.g. CUSC, BSC</u> <i>(information should be given where possible)</i> None</p>
<p>6. <u>Impact on Core Industry Documentation</u> <i>(information should be given where possible)</i> None</p>
<p>7. <u>Impact on Computer Systems and Processes used by STC Parties</u> <i>(information should be given where possible)</i> None</p>
<p>8. <u>Details of any Related Modifications to Other Industry Codes</u> <i>(where known)</i> None</p>
<p>9. <u>Justification for Proposed Amendment with Reference to Applicable STC Objectives</u> <i>(mandatory field)</i> Amending the STC in this manner would mean that Transmission Owners would continue to be able to efficiently discharge the obligations imposed on them by transmission licences and the Act. It would also facilitate the development of an efficient, economical and coordinated system of electricity transmission.</p>

Details of Proposer Organisation's Name	National Grid Electricity Transmission plc
Capacity in which the Amendment is being proposed (i.e. STC Party or other Party as designated by the Authority pursuant to STC section B7.2.2.1 (b))	STC Party
Details of Proposer's Representative Name Organisation Telephone Number Email Address	Bec Thornton National Grid Electricity Transmission plc 01926 656386 Bec.Thornton@uk.ngrid.com
Details of Representative's Alternate Name Organisation Telephone Number Email Address	John Zammit-Haber National Grid Electricity Transmission plc 01926 655389 John.Zammir-Haber@uk.ngrid.com
Attachments (Yes/No): No If yes, title and number of pages of each attachment:	

Notes:

1. Those wishing to propose an Amendment to the STC should do so by filling in this "Amendment Proposal Form" that is based on the provisions contained in Section 7.2 of the STC.
2. The Committee Secretary will check that the form has been completed, in accordance with the requirements of the STC, prior to submitting it to the Committee. If the Committee Secretary accepts the Amendment Proposal form as complete, then she/he will write back to the Proposer informing them of the reference number for the Amendment Proposal and the date on which the Committee will consider the Proposal. If, in the opinion of the Committee Secretary, the form fails to provide the information required in the STC, then he/she may reject the Proposal. The Committee Secretary will inform the Proposer of the rejection and report the matter to the Committee at their next meeting. The Committee can reverse the Committee Secretary's decision and if this happens the Committee Secretary will inform the Proposer.

The completed form should be returned to:

Lilian Macleod
STC Committee Secretary
Commercial Frameworks
National Grid
National Grid House
Warwick Technology Park
Gallows Hill
Warwick, CV34 6DA

Or via e-mail to: STCTeam@uk.ngrid.com

Annex 2 - Proposed Text to Amend the STC

Amend Schedule Five (NGET Connection Application) as follows:

1. Requirements for a NGET Connection Application submitted by NGET to a Transmission Owner pursuant to Section D, Part Two, sub-paragraph 2.2.1:

1.1 A NGET Connection Application submitted by NGET to a Transmission Owner pursuant to Section D, Part Two, sub-paragraph 2.2.1 shall contain the following information:

Proposed New Connection Site

- 1.1.1 The User Application Date.
- 1.1.2 Identification of the intended location of the New Connection Site (preferably by reference to an extract from an ordinance survey map), specifying the name of the New Connection Site together with details of access to the New Connection Site, including from the nearest main road.
- 1.1.3 A plan or plans of the proposed New Connection Site indicated (as far as NGET is able) the position of all buildings, structures, plant and apparatus and of all services located on the New Connection Site.
- 1.1.4 Details of whether the New Connection Site is to be heritable or leasehold (to include details of any servitudes or wayleaves or other property rights) insofar as NGET is aware.
- 1.1.5 The occupier of the New Connection Site so far as NGET is aware.
- 1.1.6 Where a sub-station may be needed, an indication by reference to the plan referred to in sub-paragraph 1.1.3, any location for it suggested by the relevant User, giving dimensions of the area.
- 1.1.7 Where the User is prepared to make available to the Transmission Owner the land necessary for the sub-station referred to in sub-paragraph 1.1.6, brief proposals for the Transmission Owner's interest in it including (if relevant) such interest and the consideration to be paid for it.
- 1.1.8 Whether space is available on the New Connection Site for working storage and accommodation areas for the contractors of the Transmission Owner and, if so, an indication by reference to the plan referred to in sub-paragraph 1.1.3 above the location of such areas, giving approximate dimensions of the same.
- 1.1.9 Details (including copies of any surveys or reports) of the physical nature of land in which the User has an interest (whether heritable or leasehold or by way of a servitude or wayleave or other property right) at the proposed New Connection Site including the nature of the ground and the sub-soil including the results of any tests undertaken by the User in connection with the New Connection.

- 1.1.10 Details and copies of all existing relevant planning and other consents (statutory and otherwise) relating to the New Connection Site and the User Equipment and/or details of any pending applications for the same.
- 1.1.11 Whether access to or use of the New Connection Site for the purposes of installing, maintaining and operating equipment is subject to any existing restrictions and, if so, details of such restrictions.
- 1.1.12 To the extent that NGET is aware of them, identification by reference to a plan (if possible) the owners and (if different) occupiers of the land adjoining the New Connection Site and brief details of the owner's and occupier's respective interests in such land.

Technical Information

- 1.1.13 Standard Planning Data.
- 1.1.14 A copy of the User's Safety Rules.
- 1.1.15 The User's intended Connection Entry Capacity.
- 1.1.16 The User's intended Transmission Entry Capacity.

1.1.17 Construction Planning Assumptions

Programme

- 1.1.178 The User's suggested construction programme in bar chart form for the construction work necessary to install the User Equipment.
- ~~4.4.181~~ 1.19 The date on which NGET wishes the New Connection Site to be made Operational.
- ~~4.4.191~~ 1.20 The details of any charging options exercised by the User to the extent necessary for the Transmission Owner to calculate TO Charges in respect of those options in accordance with its charging methodology.

2. Requirements for a NGET Connection Application submitted by NGET to a Transmission Owner pursuant to Section D, Part Two, sub-paragraph 2.2.2:

- 2.1 A NGET Connection Application submitted by NGET to a Transmission Owner pursuant to Section D, Part Two, sub-paragraph 2.2.2 shall contain the following information:
 - 2.1.1 The User Application Date.
 - 2.1.2 Standard Planning Data.
 - 2.1.3 The User's intended Transmission Entry Capacity.
 - 2.1.4 Construction Planning Assumptions

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- 2.1.54 The date on which NGET wishes the New Connection Site to be made Operational.
3. **Requirements for a NGET Connection Application submitted by NGET to a Transmission Owner pursuant to Section D, Part Two, sub-paragraph 2.2.3:**
- 3.1 A NGET Connection Application submitted by NGET to a Transmission Owner pursuant to Section D, Part Two, sub-paragraph 2.2.3 shall contain the following information:
- 3.1.1 The User Application Date.
 - 3.1.2 **Standard Planning Data.**
 - 3.1.3 **The User's intended Transmission Entry Capacity.**
 - 3.1.4 **Construction Planning Assumptions.**
 - 3.1.52 The date on which NGET wishes the New Connection Site to be made Operational.

Amend Schedule Six (NGET Modification Application) as follows:**1. Requirements for a NGET Modification Application submitted by NGET to a Transmission Owner pursuant to Section D, Part Two, sub-paragraph 2.2.1:**

- 1.1 A NGET Modification Application submitted by NGET to a Transmission Owner pursuant to Section D, Part Two, sub-paragraph 2.2.1 shall contain the following information:

The Connection Site to be Modified

- 1.1.1 The User Application Date.
- 1.1.2 Identify by name the Connection Site at which the Modification is to be undertaken.
- 1.1.3 Details of the rights in any additional land which the User is proposing to acquire at the Connection Site (to include heritable or leasehold interests or by way of a servitude or wayleave or other property right so as to undertake the Modification).
- 1.1.4 Whether space is available on the Connection Site for working storage and accommodation areas for the contractors of the Transmission Owner and, if so, an indication by reference to a plan the location of such areas, giving approximate dimensions of the same.
- 1.1.5 Details (including copies of any surveys or reports) of the physical nature of any additional land referred to in sub-paragraph 1.1.3 above including the nature of the ground and the sub-soil including the results of any tests undertaken by the User in connection with the New Connection.
- 1.1.6 Details and copies of all existing relevant planning and other consents (statutory and otherwise) relating to the Connection Site or the Modification and/or details of any pending applications for the same.
- 1.1.7 An indication of what, if any, of the necessary construction works necessary for the Modification NGET would like the Transmission Owner to conduct on its behalf (or on behalf of the User).

Technical Information

- 1.1.8 Full details of the proposed Modification together with relevant **Construction Planning Assumptions and** Standard Planning Data to the extent that the data will change, as a result of the proposed Modification, from data previously made available to the Transmission Owner.

Programme

- 1.1.9 The User's suggested construction programme in bar chart form for the construction work necessary to install the Modification.

- 1.1.10 The details of any charging options exercised by the User to the extent necessary for the Transmission Owner to calculate TO Charges in respect of those options in accordance with its charging methodology.
- 1.1.10~~1~~ The date on which NGET wishes the Modification to be made Operational.
- 2. Requirements for a NGET Modification Application submitted by NGET to a Transmission Owner pursuant to Section D, Part Two, sub-paragraph 2.2.2:**
- 2.1 A NGET Modification Application submitted by NGET to a Transmission Owner pursuant to Section D, Part Two, sub-paragraph 2.2.2 shall contain the following information:
- 2.1.1 The User Application Date.
- 2.1.2 Standard Planning Data.
- 2.1.3 The User's intended Transmission Entry Capacity, or, in respect of a NGET Modification Application following an earlier NGET Request for a Statement of Works, the capacity of the Power Station connecting to the User's Distribution System.
- 2.1.4 Construction Planning Assumptions.**
- 2.1.4~~5~~ The date on which NGET wishes the Modification to be made Operational.
- 3. Requirements for a NGET Modification Application submitted by NGET to a Transmission Owner pursuant to Section D, Part Two, sub-paragraph 2.2.3:**
- 3.1 A NGET Modification Application submitted by NGET to a Transmission Owner pursuant to Section D, Part Two, sub-paragraph 2.2.3 shall contain the following information:
- 3.1.1 The User Application Date.
- 3.1.2 Standard Planning Data.**
- 3.1.3 The User's intended Transmission Entry Capacity or in respect of a NGET Modification Application following an earlier NGET Request for a Statement of Works, the capacity of the Power Station connecting to the User's Distribution System.**
- 3.1.4 Construction Planning Assumptions.**
- 3.1.2~~5~~ The date on which NGET wishes the Modification to be made Operational.

Amend Schedule Seven (System Construction Application) as follows:**1. Requirements for a System Construction Application submitted by NGET to a Transmission Owner pursuant to Section D, Part Two, sub-paragraph 2.2.2:**

1.1 A System Construction Application submitted by NGET to a Transmission Owner pursuant to Section D, Part Two, sub-paragraph 2.2.2 shall contain the following information:

1.1.1 The User Application Date.

1.1.2 Identify the location or intended location of any User Plant and Apparatus in relation to which the User Application relates.

1.1.3 Standard Planning Data.

1.1.4 The User's intended Transmission Entry Capacity.

1.1.5 **Construction Planning Assumptions.**

1.1.56 The date on which NGET wishes the System Construction to be made Operational.

1.1.67 The details of any charging options exercised by the User to the extent necessary for the Transmission Owner to calculate TO Charges in respect of those options in accordance with its charging methodology.

2. Requirements for a System Construction Application submitted by NGET to a Transmission Owner pursuant to Section D, Part Two, sub-paragraph 2.2.3:

2.1 A System Construction Application submitted by NGET to a Transmission Owner pursuant to Section D, Part Two, sub-paragraph 2.2.3 shall contain the following information:

2.1.1 The User Application Date.

2.1.2 **Standard Planning Data.**

2.1.3 **The User's intended Transmission Entry Capacity.**

2.1.4 **Construction Planning Assumptions.**

2.1.25 The date on which NGET wishes the System Construction to be made Operational.

Amend Schedule Eleven (TEC Exchange Rate Application) as follows:**1 REQUIREMENTS FOR A NGET TEC EXCHANGE RATE APPLICATION SUBMITTED BY NGET TO A TRANSMISSION OWNER PURSUANT TO SECTION D, PART THREE, SUB-PARAGRAPH 1.1.1, 1.1.2 OR 1.1.3:**

1.1 A NGET TEC Exchange Rate Application submitted by NGET to a Transmission Owner pursuant to Section D, Part Three, sub-paragraph 1.1.1, 1.1.2 or 1.1.3 shall contain the following information:

1.1.1 The User Application Date.

1.1.2 The MW increase in Transmission Entry Capacity at the Connection Site of the Increasing User contained within the Exchange Rate Request.

1.1.3 The MW decrease in Transmission Entry Capacity at the Connection Site of the Decreasing User contained within the Exchange Rate Request

1.1.4 Where the Transmission System of the Transmission Owner is located at the Relevant Connection Site of the Increasing User or where the Transmission System of the Transmission Owner satisfies the criteria set out in Schedule Four for the Relevant Connection Site of the Increasing User:

1.1.4.1 the Connection Site for the Increasing User; otherwise,

1.1.4.2 the name of the Party within whose Transmission System the Connection Site of the Increasing User is located.

1.1.5 Where the Transmission System of the Transmission Owner is located at the Relevant Connection Site of the Decreasing User or where the Transmission System of the Transmission Owner satisfies the criteria set out in Schedule Four for the Relevant Connection Site of the Decreasing User:

1.1.5.1 The Connection Site for the Decreasing User; otherwise,

1.1.5.2 the name of the Party within whose Transmission System the Connection Site of the Decreasing User is located.

1.1.6 The date on which NGET wishes the NGET TEC Exchange Rate Request to be effective.

1.1.7 The NGET TEC Exchange Rate Application reference number.

1.1.8 **TEC Exchange Planning Assumptions.**

Amend Schedule Thirteen (NGET Requests for Statement of Works) as follows:**1. Requirements for a NGET Request for a Statement of Works submitted by NGET to a Transmission Owner pursuant to Section D, Part Four, sub-paragraph 1.1.1:**

1.1 A NGET Request for a Statement of Works submitted by NGET to a Transmission Owner pursuant to Section D, Part Four, sub-paragraph 1.1.1 shall contain the following information:

1.1.1 The User Application Date.

1.1.2 The name of the Connection Site.

1.1.3 Standard Planning Data.

1.1.4 The capacity of the Power Station connecting to the User's Distribution System.

1.1.5 Statement of Works Planning Assumptions.

~~1.1.5.1.6~~ 1.1.6 The date on which NGET would wish any subsequent Modification to be made Operational.

2. Requirements for a NGET Request for a Statement of Works submitted by NGET to a Transmission Owner pursuant to Section D, Part Four, sub-paragraph 1.1.2:

2.1 A NGET Request for a Statement of Works submitted by NGET to a Transmission Owner pursuant to Section D, Part Four, sub-paragraph 1.1.2 shall contain the following information:

2.1.1 The User Application Date.

2.1.2 Standard Planning Data.

2.1.3 The capacity of the Power Station connecting to the User's Distribution System.

2.1.4 Statement of Works Planning Assumptions.

~~2.1.4.2.1.5~~ 2.1.5 The date on which NGET would wish any subsequent Modification to be made Operational.

3. Requirements for a NGET Request for a Statement of Works submitted by NGET to a Transmission Owner pursuant to Section D, Part Four, sub-paragraph 1.1.3:

3.1 A NGET Request for a Statement of Works submitted by NGET to a Transmission Owner pursuant to Section D, Part Four, sub-paragraph 1.1.3 shall contain the following information:

3.1.1 The User Application Date.

3.1.2 Standard Planning Data.

3.1.3 The capacity of the Power Station connecting to the User's Distribution System.

3.1.4 Statement of Works Planning Assumptions.

3.1.5 The date on which NGET would wish any subsequent Modification to be made Operational.

Annex 3 - Copies of Comments Received on the Proposed Amendment Report

This Annex includes copies of any representations received following circulation of the Proposed Amendment Report (circulated on [date], requesting comments by close of business on [date]).

Representations were received from the following parties:

No.	Company	File Number
1		CA026-AR-01