

**PROGRESS REPORT ON
PROPOSED AMENDMENTS TO THE SYSTEM
OPERATOR – TRANSMISSION OWNER CODE**
December 2005

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ANNEX 1 - STC AMENDMENT REGISTER AS AT 4th January 2006.

1 INTRODUCTION

This Progress Report is prepared in accordance with Section B, Paragraph 7.2.8 of the STC and provides inter alia, details of current Amendment Proposals and matters arising during the preceding month together with details of key decisions made at the STC Committee meeting held on 20th December 2005 regarding the prioritisation and timetabling of Amendment Proposals.

2 DETAILS OF AMENDMENT PROPOSALS REFUSED

This section details Amendment Proposals refused by the Committee Secretary or by the STC Committee (in accordance with Section B, Paragraph 7.2.2.2 of the STC).

- None

3 NEW AMENDMENT PROPOSALS

REF	TITLE	PROPOSER	SUBMITTED
CA016	Modification Applications in Respect of Certain Embedded Power Stations	National Grid	13/12/05
CA017	Assessment of the Impact of Certain Embedded Power Stations	National Grid	13/12/05

4 AMALGAMATIONS

This section contains details of any decision of the STC Committee to amalgamate Amendment Proposals in accordance with Section B, Paragraph 7.2.3.1 of the STC.

- None.

5 SCHEDULING AND TIMETABLES

This section of the Progress Report is intended to give a high level timetable for each Amendment Proposal in progress. Specific milestone dates and key phase dates will be entered when the information is available. The shaded area is intended to show progress up to present.

Ref.	TITLE	STC Committee Meeting	Submission of Working Group Report	STC Committee Panel Meeting	Publish Consultation Document	Consultation concludes	Submit Amendment Report to Authority	Authority Determination	Date of Implementation	Comments on changes, slippages and impact on implementation date
CA013	TEC Exchange Rate Request Process	25/05/05	N/A	N/A	27/09/05	11/10/05	20/10/05	01/12/05	08/12/05	CA013 was presented to the May Committee meeting. The Committee decided that CA013 should proceed to the Assessment & Report Phase. STC Parties currently working on legal drafting. STC Parties to provide their impact and assessment analysis by 5 th August 2005. Outstanding impact and assessment analysis to be submitted by 2 nd September. STC Committee agreed the content and format of the Proposed Amendment Report at September's Committee Meeting. Proposed Amendment Report circulated to the industry for comment on 27 th September 2005. Responses to be received by 11 th October 2005. Draft version of Amendment Report to be present to STC Committee Meeting in October for review/comment/approval. The Amendment Report was submitted to Ofgem on 20 th October 2005. Authority approved CA013 on 1 st December, for implementation on 8 th December 2005.
CA016	Modification Applications in Respect of Certain Embedded Power Stations	20/12/05								CA016 was presented and discussed at the December Committee Meeting. The Committee decided that CA016 should proceed to the Evaluation Phase. The first working group meeting is scheduled to take place on 9 th January 2006.

Ref.	TITLE	STC Committee Meeting	Submission of Working Group Report	STC Committee Panel Meeting	Publish Consultation Document	Consultation concludes	Submit Amendment Report to Authority	Authority Determination	Date of Implementation	Comments on changes, slippages and impact on implementation date
CA017	Assessment of the Impact of Certain Embedded Power Stations	20/12/05								CA017 was presented and discussed at the December Committee Meeting. The Committee decided that CA017 should proceed to the Evaluation Phase. The first working group meeting is scheduled to take place on 9 th January 2006.

6 AUTHORITY DECISIONS

- The Authority approved CA013 on 1st December 2005, for implementation on 8th December 2005.

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All documents referred to in the Amendment Register are available on the National Grid STC website.

CA017		Assessment of the Impact of Certain Embedded Power Stations			
Proposer	National Grid	Submitted	13/12/05	Submitted Priority	Normal
Amendment Description		Current status and progress			
<p>This Amendment Proposal seeks to establish a process in the STC for the assessment of the impact on the GB Transmission System of certain Embedded Power Stations in the licensed area, or within the boundary of influence, of a Transmission Owner.</p> <p>This Amendment Proposal reflects the Working Group Alternative Amendment 1 to CUSC Amendment Proposal CAP097, which seeks to clarify National Grid's requirements of Small and Medium Embedded Power Stations as GBSO. National Grid is also proposing an Alternative Amendment to CA017, which would facilitate the revised process proposed by the CAP097 Consultation Alternative Amendment 1 proposed by CE Electric UK.</p>		<p>CA017 was presented and discussed at the December Committee Meeting. The Committee decided that CA017 should proceed to the Evaluation Phase. The first working group meeting is scheduled to take place on 9th January 2006.</p>			

CA016		Modification Applications in Respect of Certain Embedded Power Stations			
Proposer	National Grid	Submitted	13/12/05	Submitted Priority	Normal
Amendment Description		Current status and progress			
<p>This Amendment Proposal seeks to establish a process in the STC for the assessment of the impact on the GB Transmission System of certain Embedded Power Stations in the licensed area, or within the boundary of influence, of a Transmission Owner.</p> <p>This Amendment Proposal reflects the original CUSC Amendment Proposal CAP097 (as proposed by National Grid), which seeks to clarify National Grid's requirements of Small and Medium Embedded Power Stations as GBSO. National Grid is also proposing an Alternative Amendment to CA016, which would facilitate the revised process proposed by both the CAP097 Working Group Alternative Amendment 2 and the CAP097 Consultation Alternative Amendment 3 proposed by SP Transmission & Distribution.</p>		<p>CA016 was presented and discussed at the December Committee Meeting. The Committee decided that CA016 should proceed to the Evaluation Phase. The first working group meeting is scheduled to take place on 9th January 2006.</p>			

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CA015

Altering the Notification Period for STC Committee Meetings

Proposer	National Grid	Submitted	17/08/05	Submitted Priority	Normal
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Amendment Description

This proposal proposed that notice of the STC Committee Meeting and all paperwork (including the agenda) pertaining to the meeting be supplied to Committee Members at a common time. In line with other Industry Codes e.g. CUSC and BSC, it is proposed that this be set as five business days prior to the date of the Committee Meeting.

Current status and progress

CA015 was presented and discussed at the August Committee Meeting. National Grid indicated it wished to withdraw CA015 on 24/08/05. CA015 was formally withdrawn on 2nd Friday 2005, there was no support for the Amendment Proposal from any other STC Party.

CA014

Change of Company Name for National Grid Company plc (NGC) and consequential Amendments to the STC

Proposer	National Grid	Submitted	12/07/05	Submitted Priority	Normal
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Amendment Description

At National Grid Transco's Annual General Meeting (held on 25th July), shareholders approved a motion to change the name of the group to that of National Grid plc, with effective from 27th July 2005.

Due to the potential similarity between the group name National Grid plc and the holder of the Transmission Licence, National Grid Company plc, at the same time the group name was changed, National Grid Company plc was renamed National Grid Electricity Transmission plc.

This proposal proposes that the definition of NGC currently used within the STC and all occurrences of it within the STC are therefore updated.

Current status and progress

CA014 was presented to the July Committee Meeting. The Committee decided that CA014 should proceed to industry consultation. The Proposed Amendment Report was issued on 9th August 2005 and comments requested by 16th August 2005. The Committee agreed the Amendment Report on 24th August 2005. The Amendment Report was submitted to Ofgem on 26th August 2005. Authority approved CA014 on 16th September, for implementation on 23rd September 2005.

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CA013

TEC Exchange Rate Request Process

Proposer	National Grid	Submitted	18/05/05	Submitted Priority	Normal
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Amendment Description

This amendment proposes that the STC be modified to clarify the process to be followed and the obligations on NGC and each Transmission Owner (TO) under the STC to jointly liaise in the preparation of a TEC Exchange Rate. This would occur when a TEC Exchange Rate Request is received from CUSC Parties, one or both of whom is sited in the licensed area or within the boundary of influence of a Transmission Owner.

Current status and progress

CA013 was presented to the May Committee meeting. The Committee decided that CA013 should proceed to the Assessment & Report Phase. STC Parties to provide their impact and assessment analysis by 5th August 2005. Outstanding impact and assessment analysis to be submitted by 2nd September. STC Committee agreed the content and format of the Proposed Amendment Report at September's Committee Meeting. Proposed Amendment Report circulated to the industry for comment on 27th September 2005. Responses to be received by 11th October 2005. Draft version of Amendment Report to be present to STC Committee Meeting in October for review/comment/approval. The Amendment Report was submitted to Ofgem on 20th October 2005. **Authority approved CA013 on 1st December, for implementation on 8th December 2005.**

CA012

STC – Table of Contents

Proposer	National Grid	Submitted	12/04/05	Submitted Priority	Normal
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Amendment Description

Insert Heading I14 and associated wording in the Table of Contents Document.

Recent authority direction in relation to EELPS inserted paragraph I14 into the STC but did not add the corresponding heading into the Table of Contents Document.

Current status and progress

CA012 was presented to the April Committee meeting. The Committee decided that CA012 should proceed to industry consultation. The Proposed Amendment Report was issued on 9th May 2005 and comments requested by 16th May 2005. The Committee agreed the Amendment Report on 25th May 2005. The Amendment Report was submitted to Ofgem on 27th May 2005. Ofgem approved Amendment Proposal on 1st July, the change was implemented on 7th July 2005.

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CA011		STC – Table of Contents			
Proposer	National Grid	Submitted	12/04/05	Submitted Priority	Normal
Amendment Description			Current status and progress		
<p>Insert Heading G20 and associated wording in the Table of Contents Document.</p> <p>Recent authority direction in relation to Schedule 3 inserted paragraph G20 into the STC but did not add the corresponding heading into the Table of Contents Document.</p>			<p>CA011 was presented to the April Committee meeting. The Committee decided that CA011 should proceed to industry consultation. The Proposed Amendment Report was issued on 9th May 2005 and comments requested by 16th May 2005. The Committee agreed the Amendment Report on 25th May 2005. The Amendment Report was submitted to Ofgem on 27th May 2005. Ofgem approved Amendment Proposal on 1st July, the change was implemented on 7th July 2005.</p>		
CA010		Schedule 3 – Amendment to paragraph 2.5.1			
Proposer	National Grid	Submitted	12/04/05	Submitted Priority	Normal
Amendment Description			Current status and progress		
<p>Reference to ‘Construction Agreement’ should be amended to ‘TO Construction Agreement’.</p> <p>Amending incorrect terminology within Schedule 3, paragraph 2.5.1</p>			<p>CA010 was presented to the April Committee meeting. The Committee decided that CA010 should proceed to industry consultation. The Proposed Amendment Report was issued on 9th May 2005 and comments requested by 16th May 2005. The Committee agreed the Amendment Report on 25th May 2005. The Amendment Report was submitted to Ofgem on 27th May 2005. Ofgem approved Amendment Proposal on 1st July, the change was implemented on 7th July 2005.</p>		
CA009		Schedule 3, – Amendment to Paragraph 2.3.2 – Incorrect cross referencing			
Proposer	National Grid	Submitted	12/04/05	Submitted Priority	Normal
Amendment Description			Current status and progress		
<p>Cross-reference should be amended to refer to sub-paragraphs 2.4.7(a) – (g), not 2.4.6 (a) – (g).</p> <p>Reference to sub-paragraphs 2.4.6 (a) – (g) will be corrected to read 2.4.7 (a) to (g).</p>			<p>CA009 was presented to the April Committee meeting. The Committee decided that CA009 should proceed to industry consultation. The Proposed Amendment Report was issued on 9th May 2005 and comments requested by 16th May 2005. The Committee agreed the Amendment Report on 25th May 2005. The Amendment Report was submitted to Ofgem on 27th May 2005. Ofgem approved Amendment Proposal on 1st July, the change was implemented on 7th July 2005.</p>		

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CA008		Schedule 3 – Amendment to paragraph 2.1.3 (a)			
Proposer	National Grid	Submitted	12/04/05	Submitted Priority	Normal
Amendment Description		Current status and progress			
Reference to 'Construction Agreement' should be amended to 'TO Construction Agreement'.		CA008 was presented to the April Committee meeting. The Committee decided that CA008 should proceed to industry consultation. The Proposed Amendment Report was issued on 9 th May 2005 and comments requested by 16 th May 2005. The Committee agreed the Amendment Report on 25 th May 2005. The Amendment Report was submitted to Ofgem on 27 th May 2005. Ofgem approved Amendment Proposal on 1 st July, the change was implemented on 7 th July 2005.			
Amending incorrect terminology within Schedule 3, paragraph 2.1.3 (a).					
CA007		Section I, Paragraph 13.1 – Correction of sub-paragraph numbering			
Proposer	National Grid	Submitted	12/04/05	Submitted Priority	Normal
Amendment Description		Current status and progress			
Sub-paragraph numbering for Section I, paragraph 13.1 starts at 13.1.2 instead of 13.1.		CA007 was presented to the April Committee meeting. The Committee decided that CA007 should proceed to industry consultation. The Proposed Amendment Report was issued on 9 th May 2005 and comments requested by 16 th May 2005. The Committee agreed the Amendment Report on 25 th May 2005. The Amendment Report was submitted to Ofgem on 27 th May 2005. Ofgem approved Amendment Proposal on 1 st July, the change was implemented on 7 th July 2005.			
Correction of sub-paragraph numbering of Section I, Paragraph 13.1					
CA006		Section G, paragraph 18.1 – removal of obsolete text.			
Proposer	National Grid	Submitted	12/04/05	Submitted Priority	Normal
Amendment Description		Current status and progress			
Removal of obsolete text – 'to the effect that the law governing the place in which such immovable property' – at the end of Section G, paragraph 18.1.		CA006 was presented to the April Committee meeting. The Committee decided that CA006 should proceed to industry consultation. The Proposed Amendment Report was issued on 9 th May 2005 and comments requested by 16 th May 2005. The Committee agreed the Amendment Report on 25 th May 2005. The Amendment Report was submitted to Ofgem on 27 th May 2005. Ofgem approved Amendment Proposal on 1 st July, the change was implemented on 7 th July 2005.			
The authority decision letter for STC Housekeeping amendment deleted words from Section G, paragraph 18.1 but did not remove 'to the effect that the law governing the place in which such immovable property'. Resulting in the current paragraph becoming ineffectual.					

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CA005		Section J – New Definitions			
Proposer	National Grid	Submitted	12/04/05	Submitted Priority	Normal
Amendment Description		Current status and progress			
There are currently no definitions listed for: 'Local Switching Procedure', 'Local Joint Restoration Plan' and 'De-Synchronised Island Procedure'.		CA005 was presented to the April Committee meeting. The Committee decided that CA005 should proceed to industry consultation. The Proposed Amendment Report was issued on 9 th May 2005 and comments requested by 16 th May 2005. The Committee agreed the Amendment Report on 25 th May 2005. The Amendment Report was submitted to Ofgem on 27 th May 2005. Ofgem approved Amendment Proposal on 1 st July, the change was implemented on 7 th July 2005.			
The authority decision letter for OC7 and OC9 introduced the following terminology into the STC: Local Switching Procedure, Local Joint Restoration Plan and De-Synchronised Island Procedure but did not provide the relevant definition in Section J.					
CA004		Section I, Paragraph 1.2, Correction of Typing Error			
Proposer	National Grid	Submitted	12/04/05	Submitted Priority	Normal
Amendment Description		Current status and progress			
Section I, Paragraph 1.2 finishes with an end bracket “)” instead of full stop”.”.		CA004 was presented to the April Committee meeting. The Committee decided that CA004 should proceed to industry consultation. The Proposed Amendment Report was issued on 9 th May 2005 and comments requested by 16 th May 2005. The Committee agreed the Amendment Report on 25 th May 2005. The Amendment Report was submitted to Ofgem on 27 th May 2005. Ofgem approved Amendment Proposal on 1 st July, the change was implemented on 7 th July 2005.			
The authority decision letter for SLC C18 introduced within Section 1 sub-paragraph 1.2 however the legal text finishes the sentence with an end bracket instead of a full stop.					

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CA003

Introduction of Version Control

Proposer	National Grid	Submitted	12/04/05	Submitted Priority	Normal
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Amendment Description

Introduction of version control throughout STC documentation i.e. Sections, and Schedules.

Currently there is no version control of the STC sections and schedules, the introduction of which would assist in the electronic servicing of the STC.

Current status and progress

CA003 was presented to the April Committee meeting. Amendment was evaluated and an alternative amendment proposed. The Alternative Amendment proposes that to ease interested 'parties' servicing of the code, upon each update of a section of the Code, the entire section should be re-issued rather than just the affected pages as proposed by the original CA003. The Committee decided that CA003 and the Alternative Amendment should proceed to industry consultation. The Proposed Amendment Report was issued on 9th May 2005 and comments requested by 16th May 2005. The Committee agreed the Amendment Report on 25th May 2005. The Amendment Report was submitted to Ofgem on 27th May 2005. Ofgem approved the Alternative Amendment Proposal on 1st July, the change was implemented on 7th July 2005.

CA002

Section I, Paragraph 9.1 – Correction of sub-paragraphs numbering

Proposer	National Grid	Submitted	12/04/05	Submitted Priority	Normal
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Amendment Description

Sub-paragraph numbering for Section I, paragraph 9.1 starts at 9.1.2 instead of 9.1.1

Correction of sub-paragraph numbering of Section I, Paragraph 9.1 and amend subsequent paragraph numbering and cross referencing accordingly throughout paragraph 9.

Current status and progress

CA002 was presented to the April Committee meeting. The Committee decided that CA002 should proceed to industry consultation. The Proposed Amendment Report was issued on 9th May 2005 and comments requested by 16th May 2005. The Committee agreed the Amendment Report on 25th May 2005. The Amendment Report was submitted to Ofgem on 27th May 2005. Ofgem approved Amendment Proposal on 1st July, the change was implemented on 7th July 2005.

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CA001

Section J, Paragraph 1.1 – Correction of Referencing Error

Proposer National Grid

Submitted 12/04/05

Submitted Normal
Priority

Amendment Description

Section J, Paragraph 1.1 refers to 'Section I' and requires correction to read 'Section J'.

Correction of referencing error at the beginning of Section J, Paragraph 1.1.

Current status and progress

CA001 was presented to the April Committee meeting. The Committee decided that CA001 should proceed to industry consultation. The Proposed Amendment Report was issued on 9th May 2005 and comments requested by 16th May 2005. The Committee agreed the Amendment Report on 25th May 2005. The Amendment Report was submitted to Ofgem on 27th May 2005. Ofgem approved Amendment Proposal on 1st July, the change was implemented on 7th July 2005.