

**VERSION FOR INDUSTRY  
CONSULTATION**

Responses should be sent to  
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on or before  
5<sup>th</sup> December 2007

**PROPOSED AMENDMENT REPORT**

**STC Proposed Amendment CA027  
Miscellaneous Amendments  
as a Consequential Effect of CA025**

*The purpose of this report is to assist the Authority  
in their decision of whether to implement  
Amendment Proposal CA027*

Amendment Ref	CA027
Issue	1.0
Date of Issue	21 <sup>st</sup> November 2007
Prepared by	STC Committee

**I DOCUMENT CONTROL****a STC Document Control**

Version	Date	Author	Change Reference
0.1	16/10/07	STC Committee	Draft for STC Committee
1.0	21/11/07	STC Committee	Draft for Industry Consultation

**b Document Location**

National Grid Website:

<http://www.nationalgrid.com/uk/Electricity/Codes/sotocode/>

**c Distribution**

Name	Organisation
The Gas and Electricity Markets Authority	Ofgem
STC Parties	Various
Interested Parties	Various
Core Industry Document Owners	Various
National Grid Industry Information Website	

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## 1.0 SUMMARY AND RECOMMENDATION

- 1.1 STC Amendment Proposal CA027 proposes to amend Section J: Interpretations and Definitions to include various definitions used in the Operational Notification process and to amend Section G: General Provisions to reference revised paragraph numbering.
- 1.2 Amendment Proposal CA027 was proposed by National Grid Electricity Transmission plc ("National Grid") and submitted for consideration to the STC Committee Meeting on Tuesday, 18<sup>th</sup> September 2007. The Committee recommended that it proceed directly to the Assessment and Report Phase.

### STC Committee Provisional Recommendation

- 1.3 The STC Committee provisionally recommends that CA027 be approved for implementation.
- 1.4 Should the Authority approve CA027, the STC Committee provisionally recommends that the STC be modified 5 business days after the Authority's decision.

## 2.0 PURPOSE AND INTRODUCTION

- 2.1 This Proposed Amendment Report has been prepared and issued by the STC Committee under the rules and procedures specified in the System Operator – Transmission Owner Code. It includes new definitions to allow clarity and understanding of the requirements following the implementation of CA025.
- 2.2 Further to the submission of Amendment Proposal CA027 (see Annex 1), this document is addressed and furnished to persons who have a relevant interest in the Proposed Amendment and invites views upon Amendment Proposal CA027.
- 2.3 This document outlines the nature of the STC changes that are proposed. It incorporates the STC Committee's provisional recommendation concerning the Amendment.
- 2.4 This Proposed Amendment Report has been prepared in accordance with the terms of the STC. An electronic copy can be found on the National Grid website, at <http://www.nationalgrid.com/uk/Electricity/Codes/sotocode/>.

## 3.0 THE PROPOSED AMENDMENT

- 3.1 The STC was amended on 31<sup>st</sup> July 2007 to include requirements for revised Connection Site Specifications prior to the commissioning of a project. It became apparent that there were definitions used in the legal text of CA025 that were not defined in the STC.
- 3.2 In addition, CA025 sought to add a new paragraph which altered the paragraph numbering of Section D, but this was not reflected in the references used in Section G.
- 3.3 It is proposed to amend Section J of the STC to include the new definitions and Section G to reflect the revised paragraph numbering.

- 3.4 The final proposed legal text to give effect to Amendment Proposal CA027 is attached as Annex 2 of this document.

#### **4.0 ALTERNATIVE AMENDMENTS**

- 4.1 No Alternative Amendments to CA027 were submitted.

#### **5.0 EVALUATION PHASE**

- 5.1 The STC Committee considered that CA027 should be referred directly to the Assessment and Report Phase, and that an Evaluation Phase was not therefore required.

#### **6.0 STC PARTIES' ASSESSMENTS**

- 6.1 This section sets out the analysis and impact assessment ("Assessment") provided by STC Parties during the Assessment and Report Phase in respect of the Proposed Amendment, in accordance with Section B, Paragraph 7.2.5.2 of the STC.

##### **National Grid Assessment**

- 6.2 National Grid is supportive of Amendment Proposal CA027, and has carried out an Assessment on the Proposed Amendment.
- 6.3 The implementation of CA027 would not have any physical impact on National Grid's Transmission System or require changes to IS systems. No additional works or monies would be required to implement the proposed change.

##### **Scottish Hydro-Electric Transmission Limited ("SHETL") Assessment**

- 6.4 SHETL is supportive of Amendment Proposal CA027, and has carried out an Assessment on the Proposed Amendment.
- 6.5 The implementation of CA027 would not have any physical impact on SHETL's Transmission System or require changes to IS systems. No additional works or monies would be required to implement the proposed change.

##### **Scottish Power Transmission Limited ("SPTL") Assessment**

- 6.6 SPTL is supportive of the Amendment Proposal CA027 and has carried out a relevant assessment which confirms that there is no physical impact to SP Transmission's network, nor are there any changes required to existing IT systems. It is not expected that any additional works or monies would be required to implement the proposed amendment.

## **7.0 IMPACT ON THE STC**

- 7.1 The Proposed Amendment would require amendments to Section J (Interpretation and Definitions), such that the definitions for Agreement for Energisation, Agreement for Interim Operational Notification and Interim Operational Notification are included.
- 7.2 The Proposed Amendment would also require a minor amendment to Section G (General Provisions), Paragraph 2.2.1.2 to include a corrected reference to Section D, Part Two.
- 7.4 The text required to give effect to the Proposed Amendment is contained as Annex 2 of this document.

## **8.0 IMPACT ON CORE INDUSTRY DOCUMENTS**

- 8.1 The Proposed Amendment would have no impact on Core Industry Documents or other industry documentation or require any changes to computer systems established under Core Industry Documents.

## **9.0 STC COMMITTEE VIEWS AND RECOMMENDATION**

- 9.1 The STC Committee believes that amendment of the STC on the basis on CA027 would better facilitate achievement of the applicable STC objectives, in particular applicable STC objective (b) in that the clarity of terms used in the Operational Notification process would assist in enabling licensees to develop, maintain and operate an efficient, economical and coordinate system of electricity transmission.
- 9.2 The implementation of CA027 would also better facilitate (a) as they would enable the efficient discharge by Scottish Transmission Owners of the obligations imposed upon them by their transmission licences and the Act.
- 9.3 Furthermore the implementation of CA027 would also better facilitate achievement of applicable STC objective (e), as the additional clarity regarding Connection removal of the ambiguity regarding the usage and provision of Construction Planning Assumption would enable licensees to promote good industry practice and efficiency in the implementation and administration of the arrangements described in the STC.
- 9.4 The STC Committee therefore provisionally recommends that the Authority should approve Amendment Proposal CA024 for implementation.

## **10.0 IMPLEMENTATION AND TIMESCALES**

- 10.1 Should the Authority approve Amendment Proposal CA027, it is recommended that the STC be modified 5 business days after the Authority's decision

## **11.0 INDUSTRY VIEWS AND REPRESENTATIONS**

- 11.1 Views are invited from industry parties upon the Proposed Amendment outlined in this Proposed Amendment Report.

- 11.2 Any representations received will be summarised in Section 11 of the Amendment Report submitted to the Authority (version 2.0 of this document), and will be reproduced in Annex 3.

**Annex 1 - Amendment Proposal Form****STC Amendment Proposal Form**  
**CA027**

<p><b>1. <u>Title of Amendment Proposal</u></b></p> <p>Miscellaneous amendments as a consequential effect of CA025.</p>
<p><b>2. <u>Description of the Proposed Amendment</u></b> <i>(mandatory field)</i></p> <p>1. Amend Section J to include definitions for the following terms:</p> <ul style="list-style-type: none"> <li>• Agreement for Energisation</li> <li>• Agreement for Interim Operational Notification</li> <li>• Interim Operational Notification</li> </ul> <p>2. Amend Section G to reference the revised paragraph numbering as a result of CA025.</p>
<p><b>3. <u>Description of Issue or Defect that Proposed Amendment seeks to Address</u></b> <i>(mandatory field)</i></p> <p>When Amendment Proposal CA025 was proposed in February there were some definitions used in it that were not defined elsewhere in the STC. Consequently this needs to be remedied and definitions need to be included in Section J.</p> <p>In addition to the definitions, CA025 sought to add a new paragraph which altered the paragraph numbering of Section D, Part Two. Section G was not amended to reference the revised paragraph numbering.</p>
<p><b>4. <u>Impact on the STC</u></b> <i>(information should be given where possible)</i></p> <p>Changes are required to Section J to include new definitions and to Section G to include the correct references to Section D, Part Two.</p>
<p><b>5. <u>Impact on other frameworks e.g. CUSC, BSC</u></b> <i>(information should be given where possible)</i></p> <p>None</p>
<p><b>6. <u>Impact on Core Industry Documentation</u></b> <i>(information should be given where possible)</i></p> <p>None</p>
<p><b>7. <u>Impact on Computer Systems and Processes used by STC Parties</u></b> <i>(information should be given where possible)</i></p> <p>None</p>
<p><b>8. <u>Details of any Related Modifications to Other Industry Codes</u></b> <i>(where known)</i></p> <p>None</p>
<p><b>9. <u>Justification for Proposed Amendment with Reference to Applicable STC Objectives</u></b> <i>(mandatory field)</i></p> <p>Amending the STC in this manner would mean that the Transmission Owners would continue to be able to efficiently discharge the obligations imposed on them by transmission licences and the Act. It would also facilitate the development of an efficient, economic coordinated system of electricity transmission.</p>

<b>Details of Proposer</b> Organisation's Name	National Grid Electricity Transmission plc
<b>Capacity in which the Amendment is being proposed</b> (i.e. STC Party or other Party as designated by the Authority pursuant to STC section B7.2.2.1 (b))	STC Party
<b>Details of Proposer's Representative</b> Name Organisation Telephone Number Email Address	Bec Thornton National Grid Electricity Transmission plc 01926 656386 Bec.thornton@uk.ngrid.com
<b>Details of Representative's Alternate</b> Name Organisation Telephone Number Email Address	Phil Collins National Grid Electricity Transmission plc 01926 656143 Phil.Collins@uk.ngrid.com
<b>Attachments (Yes/No): No</b>	

**Notes:**

1. Those wishing to propose an Amendment to the STC should do so by filling in this "Amendment Proposal Form" that is based on the provisions contained in Section 7.2 of the STC.
2. The Committee Secretary will check that the form has been completed, in accordance with the requirements of the STC, prior to submitting it to the Committee. If the Committee Secretary accepts the Amendment Proposal form as complete, then she/he will write back to the Proposer informing them of the reference number for the Amendment Proposal and the date on which the Committee will consider the Proposal. If, in the opinion of the Committee Secretary, the form fails to provide the information required in the STC, then he/she may reject the Proposal. The Committee Secretary will inform the Proposer of the rejection and report the matter to the Committee at their next meeting. The Committee can reverse the Committee Secretary's decision and if this happens the Committee Secretary will inform the Proposer.

The completed form should be returned to:

Bali Virk  
STC Committee Secretary  
Commercial Frameworks  
National Grid Company plc  
National Grid House  
Warwick Technology Park  
Gallows Hill  
Warwick, CV34 6DA

Or via e-mail to: STCTeam@uk.ngrid.com

## **Annex 2 – Proposed Text to Amend the STC**

### Annex 3 - Copies of Comments Received on the Proposed Amendment Report

This Annex includes copies of any representations received following circulation of the Proposed Amendment Report (circulated on [date], requesting comments by close of business on [date]).

Representations were received from the following parties:

No.	Company	File Number
1		CA027-AR-01