



**PROGRESS REPORT ON
PROPOSED AMENDMENTS TO THE SYSTEM
OPERATOR – TRANSMISSION OWNER CODE**
October 2010

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ANNEX 1 - STC AMENDMENT REGISTER AS AT 1st November 2010

1 INTRODUCTION

This Progress Report is prepared in accordance with Section B, Paragraph 7.2.8 of the STC and provides inter alia, details of current Amendment Proposals and matters arising during the preceding month together with details of key decisions made at the STC Committee meeting held on 26th October 2010 regarding the prioritisation and timetabling of Amendment Proposals.

2 DETAILS OF AMENDMENT PROPOSALS REFUSED

This section details Amendment Proposals refused by the Committee Secretary or by the STC Committee (in accordance with Section B, Paragraph 7.2.2.2 of the STC).

- None

3 NEW AMENDMENT PROPOSALS

- None

4 AMALGAMATIONS

This section contains details of any decision of the STC Committee to amalgamate Amendment Proposals in accordance with Section B, Paragraph 7.2.3.1 of the STC.

- None

5 SCHEDULING AND TIMETABLES

This section of the Progress Report is intended to give a high level timetable for each Amendment Proposal in progress. Specific milestone dates and key phase dates will be entered when the information is available. The shaded area is intended to show progress up

Ref.	TITLE	Presented at STC Committee Meeting	Evaluation Phase Concluded	Proposed Amendment Report Approved	Proposed Amendment Report Published	Consultation Period Concluded	Amendment Report Submitted to Authority	Authority Determination	Date of Implementation	Comments on changes, slippages and impact on implementation date
CA031	Definition of Threshold(s) associated with Request of Statement of Works	18/11/08	16/02/09	16/02/09	17/02/09	03/03/09	18/03/09	26/01/10		CA031 has been raised as a consequence of CUSC Amendment Proposal CAP167 which addresses the problem by developing a Relevant Embedded Small Power Station (RESPS) Methodology which will form a basis for calculating RESPS Thresholds for each Grid Supply Point. NGET shall prepare a draft RESPS Methodology in consultation with owners and operators of Distribution Systems and relevant Transmission Licensees. Following this initial consultation NGET shall publish the RESPS Methodology on the NGET website for a period of 28 days for parties to provide comments to NGET. Evaluation phase to be completed by SPT and SHETL and bought back to February STC meeting. The STC Committee approved for CA031 to proceed to Company Consultation for a period of 10 days. The Final report was submitted to Ofgem on the 18/03/09 and a decision is awaited. CA031 is not being decided on as it is expected to be superseded by a new Amendment Proposal yet to be submitted to the STC Committee. CA031, withdrawn at the 26th January STC Committee meeting. The intention was to submit a new amendment Proposal, CA034 with revised legal text, but as the outcome of the DECC/Ofgem Transmission Access Review has not yet been finalised, CA034 Amendment Proposal has been withdrawn at the February 2010 STC Committee meeting with a view to re-submitting if required at a later date. On 22nd October 2010, the Authority rejected CAP167. As a result, CA031 will not be resubmitted.

Ref.	TITLE	Presented at STC Committee Meeting	Evaluation Phase Concluded	Proposed Amendment Report Approved	Proposed Amendment Report Published	Consultation Period Concluded	Amendment Report Submitted to Authority	Authority Determination	Date of Implementation	Comments on changes, slippages and impact on implementation date
CA033	Housekeeping	23/06/09	N/A	25/08/09	26/08/09	08/09/09	29/09/09	03/11/09		At the June STC Committee meeting, the members were presented with a House keeping Amendment Proposal for the correction of referencing. CA033 has been re- issued to the STC Committee to include Offshore changes. The STC Committee approved the Proposed Amendment Report to go out for industry consultation on 2nd September 2009 for five days. At the September 29th STC Committee meeting, the STC Committee agreed for the CA033 Amendment Report to be submitted to the Authority. The Report was submitted to the Authority on the 29th September 2009. A revised issue dated 26th October 2009 was sent to the Authority with a covering letter to request that the Authority consent changes to be made to the STC with reference to be paragraph numbering is awaiting a decision. CA033 was Withdrawn on the 3rd November 2009. All housekeeping issues are to be captured under the Transmission licence SLC B12 paragraph 7 (b) (iii) with the consent of the Authority, ongoing. The Authority approved the proposed amendments on 5 th August 2010 and as such the changes are due to be implemented.
CA034	Definition of Thresholds Associated with Statement of Works	26/01/10								CA034 Presented at the January STC committee meeting in place of CA031. Following the February STC Committee meeting National Grid formally withdrew CA034 with a view to resubmitting a similar proposal if still required once the outcome of the Transmission Access Review was complete. On 22nd October 2010, the Authority rejected CAP167. As a result, CA034 will not be resubmitted.

Ref.	TITLE	Presented at STC Committee Meeting	Evaluation Phase Concluded	Proposed Amendment Report Approved	Proposed Amendment Report Published	Consultation Period Concluded	Amendment Report Submitted to Authority	Authority Determination	Date of Implementation	Comments on changes, slippages and impact on implementation date
CA035	Offshore Transmission Compliance & Testing	27/04/10	N/A	CA035 was withdrawn by the proposer on 15/09/10						CA035 was submitted to April STC committee meeting without the legal text. The members agreed to consider whether the proposal should proceed to Assessment and Report phase or Evaluation stage. The legal text was circulated to the STC Committee members prior to the May STC Committee meeting, some comments had been received. The group agreed for the proposal to proceed directly to Assessment and Report phase providing that the necessary changes to the legal text were made and agreed. A revised version of the legal text was circulated prior to the June STC Committee for approval. On the 15 th September National Grid withdrew CA035 with the intention of resubmitting something similar later date.

CA036	Changes to Offshore Development Information Statement	27/04/10	N/A	22/06/10	23/06/10	23/07/10	29/09/10	22/10/10	29/10/10	<p>CA036 was submitted to April STC Committee meeting for consideration. The proposed amendment seeks to put in place provisions which will describe what activities required from the Transmission Owners to support NGET in its preparation of the Offshore Development Information Statement (ODIS). At the May STC Committee the members agreed for the proposal to proceed to Assessment and Report Phase. A draft Amendment Report is to be circulated STC Committee for comment. The Proposed Amendment Report circulated was approved by the June STC Committee and then circulated for 1 month Industry Consultation on the 23rd June 2010. The consultation closed on 23rd July 2010 and two responses were received in support of the Proposal and incorporated in the draft Amendment Report. The draft Amendment Report for submission to the Authority is to be amended slightly with an expectation of submission before the end of September 2010. The Amendment Report was submitted to Authority on 29th September 2010 for decision. The Authority published their decision to approve the proposal on 22nd October 2010 with an implementation date of 29th October 2010.</p>
CA037	Inclusion of list of parties to the STC	25//05/10	N/A	22/06/10	23/06/10	01/07/10	12/08/10	06/09/10	13/09/10	<p>CA037 was submitted to May STC Committee meeting for consideration. The proposed amendment seeks to put in place a new schedule A within the STC to include a list of party details. The STC Committee welcomed proposal and agreed for it to proceed to Assessment & Report Phase. A draft Amendment Report is to be circulated STC Committee for comment. The Proposed Amendment Report circulated was approved by the June STC Committee and then circulated for a 5 day Industry Consultation on the 23rd June 2010. One response was received in support of the proposal and incorporated in the draft Amendment Report submitted to the July STC Committee meeting. At the meeting it was noted more information was required behind the choice of the STC objectives that are better met by the proposal Revised wording is to be circulated to the STC Committee for approval. CA037 was submitted to the Authority. On 6th September the Authority approved CA037 and was implemented on 13th September 2010.</p>

CA038	Transitional election arrangements for Offshore Transmission Owners	25//05/10	N/A	27/07/10	27/07/10	11/08/10	29/09/10			<p>CA038 was submitted to May STC Committee meeting without the legal text for consideration. The proposed amendment seeks to put in place a Transitional Period within the Governance (section B) to allow Offshore Transmission Owners (OFTOs), to be represented on the STC Committee. Consideration is currently being given as to whether the proposal should proceed through the normal governance arrangements or go through the urgent amendment proposal route. The Authority has confirmed the Amendment Proposal should proceed through the normal governance process. The Draft Proposed Amendment Report was circulated to the Committee members for comment on the 22nd June 2010. At the July STC Committee meeting the proposed Amendment incorporating comments from the committee was approved for a 10 day industry consultation period, issued on the 27th July. Four responses to the consultation had been received in support of CA038. The draft Amendment Report was considered by the August STC Committee. The Committee requested minor changes to be made before submitting to the Authority. The Amendment Report was submitted to Authority on 29th September 2010 for decision.</p>
CA039	Outage planning Development	27/07/10	21/09/10	26/10/10	27/10/10					<p>CA039 was submitted to the July STC Committee meeting for discussion. The Committee agreed for CA039 to go to Evaluation Stage to develop and approve the legal text. Evaluation Phase concluded on 21st September 2010. At the September STC Committee meeting the members approved CA039 to proceed to Assessment and Report Phase. At the October 2010 STC Committee meeting, CA039 was approved to go to industry consultation on 27th October 2010, for 10 working days.</p>
CA040	Environmental Assessment and the Relevant Objectives	28/09/10	N/A	12/10/10	12/10/10	19/10/10	26/10/10			<p>CA040 was submitted to the September STC Committee meeting for discussion. The Committee agreed for CA040 to proceed to Assessment and Report phase. Following email approval by the STC Committee on 12th October 2010, an industry consultation was published for 5 working days. No responses were received and the Amendment Report was submitted to the Authority on 26th October 2010.</p>

CA041	Inclusion of Transmission Owner Reinforcement Instructions in Transmission Owner Construction Offer and Definition Amendments	28/09/10									CA041 was submitted to September STC Committee for discussion. The Committee agreed to feedback comments prior to the next meeting.
CA042	Offshore Transmission System Compliance & Testing	28/09/10	N/A								CA042 was submitted to the September STC Committee meeting for discussion. The Committee agreed for CA042 to proceed to Assessment & Report Phase.

6 AUTHORITY DECISIONS

- The Authority approved CA036 on 22nd October 2010 and it was implemented on 29th October 2010

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STC AMENDMENT REGISTER – AS AT 1st November 2010

All documents referred to in the Amendment Register are available on the National Grid STC website.

CA031		DEFINITION OF THRESHOLD(S) ASSOCIATED WITH THE REQUEST OF A STATEMENT OF WORKS			
Proposer	National Grid	Submitted	18/11/08	Submitted Priority	Normal
Amendment Description		Current status and progress			
<p>CA031 - has been raised as a consequence of CUSC Amendment Proposal CAP167 which addresses the problem by developing a Relevant Embedded Small Power Station (RESPS) Methodology which will form a basis for calculating RESPS Thresholds for each Grid Supply Point. NGET shall prepare a draft RESPS Methodology in consultation with owners and operators of Distribution Systems and relevant Transmission Licensees. Following this initial consultation NGET shall publish the RESPS Methodology on the NGET website for a period of 28 days for parties to provide comments to NGET.</p>		<p>The amendment proposal has been circulated to the STC Committee for comments. Comments to feedback via email and reported back at the January 2009 meeting. Evaluation phase to be completed by SPT and SHETL Draft Amendment Report to be approved at the February STC meeting. The STC Committee approved for CA031 to proceed to Company Consultation for a period of 10 days. The final report was submitted to the Authority on the 18th March 2009 we are now awaiting a decision. The intention was to submit a new amendment Proposal, CA034 to replace CA031 with revised legal text, but as the outcome of the DECC/Ofgem Transmission Access Review has not yet been finalised, CA034 Amendment Proposal has been withdrawn at the February 2010 STC Committee meeting with a view to re-submitting if required at a later date. On 22nd October 2010, the Authority rejected CAP167. As a result, CA031 will not be resubmitted.</p>			

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CA033		HOUSEKEEPING		
Proposer	National Grid	Submitted	Submitted	Normal
		23/06/09	Priority	
Amendment Description		Current status and progress		
<p>House keeping Amendment proposal for Correction of referencing error. Section H, Paragraph 4.3.12 refers to Section E, paragraph 4.1 and requires correction to read Section E, paragraph 5.1.</p>		<p>The Amendment Proposal was brought to attention of the committee at the June STC meeting. The STC committee would now like more information with regards to the nature of the additional highlighted mark ups. CA033 has been re- issued to the STC Committee to include Offshore changes. The STC Committee approved the Proposed Amendment Report to go out for industry consultation on 2nd September 2009 for five days. At the September 29th STC Committee meeting, the STC Committee agreed for the CA033 Amendment Report to be submitted to the Authority. It was submitted to the Authority on 29th September 2009. A revised issue dated 26th October 2009 was sent to the Authority with a covering letter to request that the Authority consent changes to be made to the STC with reference to paragraph numbering is awaiting a decision. CA033 was Withdrawn on the 3rd November 2009. All housekeeping issues are to be captured under the Transmission licence SLC B12 paragraph 7 (b) (iii) with the consent of the Authority, ongoing. The Authority has approved the proposed amendments and as such the changes are due to be implemented.</p>		
CA034		DEFINITION OF THRESHOLDS ASSOCIATED WITH STATEMENT OF WORKS		
Proposer	National Grid	Submitted	Submitted	Normal
		26/02/10	Priority	
Amendment Description		Current status and progress		
<p>CA034 Definition of Thresholds Associated with Statement of Works</p>		<p>The Amendment Proposal was submitted for the attention of the Committee at the January 2010 STC meeting. The Committee agreed that further consideration was to be given to the legal text in comparison to that which was in CA031. Following the February STC Committee meeting National Grid formally withdrew CA034 with a view to resubmitting a similar proposal once the outcome of the Transmission Access Review was complete. On 22nd October 2010, the Authority rejected CAP167. As a result, CA034 will not be resubmitted.</p>		

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CA035		OFFSHORE TRANSMISSION COMPLIANCE & TESTING		
Proposer	National Grid	Submitted	Submitted	Normal
		27/04/10	Priority	
Amendment Description		Current status and progress		
<p>CA035 Offshore Transmission Compliance & Testing. The proposal intends to add a new section K as result of Grid Code Consultation A10 Compliance. The minimum technical, design and operational criteria and performance criteria that Offshore Transmission Owners (OFTO) must satisfy.</p>		<p>CA035 was submitted to April STC committee meeting without the legal text. The members agreed to consider whether the proposal should proceed to assessment and report phase or Evaluation stage. The legal text was forwarded prior to the May STC Committee meeting, some comments had been received. The group agreed for the proposal to proceed directly to assessment and Report Phase providing that the necessary changes were made and agreed. A revised version of the legal text was circulated prior to the June STC Committee for approval. On the 15th September National Grid withdrew CA035 with the intention of resubmitting something similar later date.</p>		

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CA036		CHANGES TO OFFSHORE DEVELOPMENT INFORMATION STATEMENT			
Proposer	National Grid	Submitted	27/04/10	Submitted Priority	Normal
Amendment Description		Current status and progress			
<p>CA036 Changes to Offshore Development Information Statement. The proposed amendment seeks to put in place provisions which will describe what activities are required from the Transmission Owners to support NGET in its preparation of the Offshore Development Information Statement.</p>		<p>CA036 was submitted to April STC committee meeting. The group agreed to consider whether this proposal should go to Evaluation stage and comments are to be forwarded before the June STC Committee meeting. At the May STC Committee the members agreed for the proposal to proceed to Assessment and Report Phase. A draft Amendment Report is to be circulated STC Committee for comment. The Proposed Amendment Report circulated was approved by the June STC Committee and then circulated for 1 month Industry Consultation on the 23rd June 2010. The consultation closed on 23rd July 2010 and two responses were received in support of the Proposal and incorporated in the draft Amendment Report. The draft Amendment Report for submission to the Authority is to be amended slightly with an expectation of submission before the end of September 2010. A revised version of the draft proposed Amendment was submitted to the September STC Committee for approval and submitted to the Authority on 29th September 2010. The Authority published their decision on 22nd October 2010 with an implementation date of 29th October 2010.</p>			

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CA037		INCLUSION OF LIST OF PARTIES TO THE STC			
Proposer	National Grid	Submitted	18/05/10	Submitted Priority	Normal
Amendment Description		Current status and progress			
<p>CA037 Inclusion of list of parties to the STC. The proposed amendment seeks to put in place a new schedule, 'Schedule A', to include a list of party details. The introduction of Offshore Transmission Licence holders being made parties to the STC, will increase the number of Transmission Owners therefore it would seem sensible to have a list of all Onshore and Offshore Transmission Owners.</p>		<p>CA037 was submitted to May STC Committee meeting for consideration. The STC Committee welcomed proposal and agreed for it to proceed to Assessment & Report Phase. A draft Amendment Report is to be circulated STC Committee for comment. The Proposed Amendment Report circulated was approved by the June STC Committee and then circulated for a 5 day Industry Consultation on the 23rd June 2010. One response was received in support of the proposal and incorporated in the draft Amendment Report submitted to the July STC Committee meeting. At the meeting it was noted more information was required behind the choice of the STC objectives that are better met by the proposal Revised wording is to be circulated to the STC Committee for approval. CA037 was submitted to the Authority on the 12th August 2010 for a decision. On 6th September the Authority approved CA037 and was implemented on 13th September 2010.</p>			

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CA038

TRANSITIONAL ELECTION ARRANGEMENTS FOR OFFSHORE TRANSMISSION OWNERS

Proposer	National Grid	Submitted	Submitted	Normal
		18/05/10	Priority	

Amendment Description

CA038 Transitional election arrangements for Offshore Transmission owners. The amendment proposes to amend Paragraph 6 of Section B (Governance) to create a 'Transitional Period' in which Offshore Transmission Owners (OFTOs) are able to be represented on the STC Committee for the periods which Annex B1 of Section B does not allow.

Current status and progress

CA038 was submitted to May STC committee meeting without the legal text for consideration. Consideration is currently being given as to whether proposal should proceed through the normal governance arrangements or go through the urgent amendment proposal route. The Authority has confirmed the Amendment Proposal should proceed through the normal governance process. The Draft Proposed Amendment Report was circulated to the Committee members for comment on the 22nd June 2010. At the July STC Committee meeting the proposed Amendment incorporating comments from the committee was approved for a 10 day industry consultation period, issued on the 27th July. Four responses to the consultation had been received in support of CA038. The draft Amendment Report was considered by the August STC Committee. The Committee requested minor changes to be made before submitting to the Authority. The Amendment report was submitted to the September STC Committee for approval. Following approval, it was submitted to the Authority on 29th September 2010.

CA039

OUTAGE PLANNING DEVELOPMENT

Proposer	National Grid	Submitted	Submitted	Normal
		27/07/10	Priority	

Amendment Description

CA039 Outage Planning Development. The amendment proposal seeks to put in place a clause within Section C of the STC to ensure the TO's provide outage planning information for the following financial year and the subsequent year. This will allow NGET to coordinate outage plans for all Transmission Owners further ahead to help reduce constraint costs

Current status and progress

CA039 was submitted to the July STC Committee meeting for discussion. The Committee agreed for CA039 to go to Evaluation Stage to develop and approve the legal text. The Evaluation Phase concluded on 21st September 2010. At the September STC Committee meeting the members approved for CA039 to proceed to Assessment and Report Phase. **At the October 2010 STC Committee meeting CA039 was approved to go to industry consultation on 27th October 2010, for 10 working days.**

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CA040		ENVIRONMENTAL ASSESSMENT AND THE RELEVANT OBJECTIVES			
Proposer	National Grid	Submitted	28/09/10	Submitted Priority	Normal
Amendment Description		Current status and progress			
<p>This Amendment Proposal is part of a series of code proposals raised by National Grid to implement the Final Proposals of the wider Code Governance Review which was initiated by Ofgem in November 2007.</p> <p>As part of the suite of work strands conducted by the Code Governance Review, it was proposed that the relevant code panels carry out an assessment of the impact on Greenhouse Gas Emissions as part of their deliberations on whether to recommend acceptance or rejection of a modification proposal.</p> <p>Consequently it is proposed to amend Section B of the STC (Governance) to place obligations on the Amendment Proposer and the STC Committee Secretary to give due consideration to the impact of greenhouse gas emissions for all Amendment Proposals.</p>		<p>CA040 was submitted to the September STC Committee meeting for discussion. The Committee agreed for CA040 to proceed to Assessment and Report phase. Following email approval by the STC Committee on 12th October 2010, an industry consultation was published for 10 working days. No responses were received and the Amendment Report was submitted to the Authority on 26th October 2010.</p>			

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CA041		INCLUSION OF TRANSMISSION OWNER REINFORCEMENT INSTRUCTIONS IN TRANSMISSION OWNER CONSTRUCTION OFFER AND DEFINITION AMENDMENTS			
Proposer	National Grid	Submitted	28/09/10	Submitted Priority	Normal
Amendment Description		Current status and progress			
<p>It is proposed to amend Schedule Eight – ‘TO Construction Offer’ of the STC such that a Transmission Owner submits to NGET the latest Transmission Owner Reinforcement Instruction (TORI) or confirmation that the current TORI remains unchanged with the Transmission Owner Construction Offer (TOCO).</p> <p>Submission of the TORI will assist NGET to incorporate the correct information into the relevant User Connection Offer in accordance with the CUSC.</p> <p>It is also proposed to amend Section J ‘Interpretation and Definitions’ within the STC such that a TORI is defined.</p>		<p>CA041 was submitted to September STC Committee for discussion. The Committee agreed to feedback comments prior to the next meeting.</p>			
CA042		OFFSHORE TRANSMISSION SYSTEM COMPLIANCE & TESTING			
Proposer	National Grid	Submitted	28/09/10	Submitted Priority	Normal
Amendment Description		Current status and progress			
<p>The amendment proposes to amend the STC such that the Offshore Transmission Owner (OFTO) must cooperate and demonstrate to National Grid, compliance of the technical design and operation of its transmission system in accordance with Section K of the STC.</p>		<p>CA042 was submitted to the September STC Committee meeting for discussion. The Committee agreed for CA042 to proceed to Assessment and Report Phase.</p>			