



**PROGRESS REPORT ON
PROPOSED AMENDMENTS TO THE SYSTEM
OPERATOR – TRANSMISSION OWNER CODE**
November 2007

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ANNEX 1 - STC AMENDMENT REGISTER AS AT 1 December 2007

1 INTRODUCTION

This Progress Report is prepared in accordance with Section B, Paragraph 7.2.8 of the STC and provides inter alia, details of current Amendment Proposals and matters arising during the preceding month together with details of key decisions made at the STC Committee meeting held on 20 November 2007 regarding the prioritisation and timetabling of Amendment Proposals.

2 DETAILS OF AMENDMENT PROPOSALS REFUSED

This section details Amendment Proposals refused by the Committee Secretary or by the STC Committee (in accordance with Section B, Paragraph 7.2.2.2 of the STC).

- None

3 NEW AMENDMENT PROPOSALS

- None

4 AMALGAMATIONS

This section contains details of any decision of the STC Committee to amalgamate Amendment Proposals in accordance with Section B, Paragraph 7.2.3.1 of the STC.

- None.

5 SCHEDULING AND TIMETABLES

This section of the Progress Report is intended to give a high level timetable for each Amendment Proposal in progress. Specific milestone dates and key phase dates will be entered when the information is available. The shaded area is intended to show progress up to present.

Ref.	TITLE	Presented at STC Committee Meeting	Evaluation Phase Concluded	Proposed Amendment Report Approved	Proposed Amendment Report Published	Consultation Period Concluded	Amendment Report Submitted to Authority	Authority Determination	Date of Implementation	Comments on changes, slippages and impact on implementation date
CA028	Amendment to the governance timescales	18/9/07	N/A	20/11/07	21/11/07	5/12/07				The STC Committee agreed that CA028 should proceed to Assessment and Report Phase with a view to sending out to consultation for 10 business days. National Grid to draft the Proposed Amendment Report. The STC Committee reviewed and signed-off the Proposed Amendment Report at November's STC Committee meeting. Proposed Amendment Report circulated to industry for consultation on 21 November 2007. Consultation period closed on 5 December 2007.
CA027	Miscellaneous amendment as a consequential affect of CA025	18/9/07	N/A	20/11/07	21/11/07	5/12/07				The STC Committee agreed that CA027 should proceed to Assessment and Report Phase with a view to sending out to consultation for 10 business days. National Grid to draft the Proposed Amendment Report. The STC Committee reviewed and signed-off the Proposed Amendment Report at November's STC Committee meeting. Proposed Amendment Report circulated to industry for consultation on 21 November 2007. Consultation period closed on 5 December 2007.

Ref.	TITLE	Presented at STC Committee Meeting	Evaluation Phase Concluded	Proposed Amendment Report Approved	Proposed Amendment Report Published	Consultation Period Concluded	Amendment Report Submitted to Authority	Authority Determination	Date of Implementation	Comments on changes, slippages and impact on implementation date
CA026	Exchange of Data Amongst Relevant Parties in Schedules 5, 6, 7, 11 and 13	20/02/07	N/A	29/08/07	29/08/07	12/09/07	18/9/07	22/10/07		<p>CA026 (Exchange of Data Amongst Relevant Parties in Schedules 5, 6, 7, 11 and 13) was proposed by National Grid and discussed at the February 2007 STC Committee meeting. The Committee decided that CA026 should proceed directly to the Assessment and Report Phase. The STC Committee decided in the May 2007 STC Committee meeting that we would delay the approval and publication of the proposed Amendment Report to await the outcome of what is decided for CA023 as it also may be impacted by further review of CA023. At the STC Committee meeting on the 19 June 2007 the Chairman requested an extension from Ofgem. Ofgem granted a one month extension.</p> <p>At the STC Committee meeting on the 17th July Ofgem granted a further 1 month extension inline with the Grid Code proposed amendment.</p> <p>The STC Committee meeting on the 21 August requested that the Proposed Amendment Report be circulated to industry for consultation on 29 August 2007. Consultation period closes on 12 September 2007.</p> <p>The STC Committee members noted that no responses for received for the consultation. The STC Committee agreed for CA026 to proceed to the Authority for determination.</p> <p>Authority decision received on 22 October to reject CA026.</p>

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Ref.	TITLE	Presented at STC Committee Meeting	Evaluation Phase Concluded	Proposed Amendment Report Approved	Proposed Amendment Report Published	Consultation Period Concluded	Amendment Report Submitted to Authority	Authority Determination	Date of Implementation	Comments on changes, slippages and impact on implementation date
CA025	Amendment to STC regarding obligations in Connection Site Specification Submissions	20/02/07	N/A	22/5/07	23/5/07	7/6/07	20/6/07	23/7/07	30/7/07	CA025 (Amendment to STC regarding obligations in Connection Site Specification Submissions) was proposed by National Grid and discussed at the February 2007 STC Committee meeting. The Committee decided that CA025 should proceed directly to the Assessment and Report Phase. The STC Committee reviewed and signed-off the Proposed Amendment Report at May's STC Committee meeting. Proposed Amendment Report circulated to industry for consultation on 23 rd May 2007. Consultation period closes on 7 th June 2007. Amendment Report sent to the Authority on the 20 June 2007. Authority determined on 23 July 2007, CA025 implemented 30 July 2007.

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Ref.	TITLE	Presented at STC Committee Meeting	Evaluation Phase Concluded	Proposed Amendment Report Approved	Proposed Amendment Report Published	Consultation Period Concluded	Amendment Report Submitted to Authority	Authority Determination	Date of Implementation	Comments on changes, slippages and impact on implementation date
CA024	Clarification of Construction Planning Assumption Data Requirements	20/02/07	N/A	29/08/07	29/08/07	12/09/07	18/9/07	22/10/07	29/10/07	<p>CA024 (Clarification of Construction Planning Assumption Data Requirements) was proposed by National Grid and discussed at the February 2007 STC Committee meeting. The Committee decided that CA024 should proceed directly to the Assessment and Report Phase. The STC Committee decided in the May 2007 STC Committee meeting that we would delay the approval and publication of the proposed Amendment Report to await the outcome of what is decided for CA023 as it also may be impacted by further review of CA023. At the STC Committee meeting on the 19 June 2007 the Chairman requested an extension from Ofgem. Ofgem granted a one month extension.</p> <p>At the STC Committee meeting on the 17th July Ofgem granted a further 1 month extension inline with the Grid Code proposed amendment.</p> <p>The STC Committee meeting on the 21 August requested that the Proposed Amendment Report be circulated to industry for consultation on 29 August 2007. Consultation period closes on 12 September 2007.</p> <p>The STC Committee members noted that no responses for received for the consultation. The STC Committee agreed for CA026 to proceed to the Authority for determination.</p> <p>Authority approved CA024 on 22 October 2007 with an implementation date of 29 October 2007.</p>

STC Amendments Progress Report
December 2007

Ref.	TITLE	Presented at STC Committee Meeting	Evaluation Phase Concluded	Proposed Amendment Report Approved	Proposed Amendment Report Published	Consultation Period Concluded	Amendment Report Submitted to Authority	Authority Determination	Date of Implementation	Comments on changes, slippages and impact on implementation date
CA023	Exchange of Best View Investment Planning Data Outside the Boundary of Influence	20/02/07	N/A	17/04/07	11/5/07	17/05/07	29/08/07	4/10/07		<p>CA023 (Exchange of Best View Investment Planning Data Outside the Boundary of Influence) was proposed by National Grid and discussed at the February 2007 STC Committee meeting. The Committee decided that CA023 should proceed directly to the Assessment and Report Phase. The STC Committee reviewed and signed-off the Proposed Amendment Report at April's STC Committee meeting. Proposed Amendment Report circulated to industry for consultation on 23rd May 2007. Consultation period closed on 17th May 2007. One consultation response was received from E.ON UK which raises some issues that need to be resolved before the Amendment Report can be approved. The Grid Code may need to be reviewed in addition to the STC for the proposed amendment. The STC Committee will discuss the impact of the response at the June STC Committee meeting. At the STC Committee meeting on the 19 June 2007 the Chairman requested an extension from Ofgem. Ofgem granted a one month extension.</p> <p>At the STC Committee meeting on the 17th July Ofgem granted a further 1 month extension inline with the Grid Code proposed amendment.</p> <p>The STC Committee agreed that the Amendment Report be sent to the Authority for decision.</p> <p>Authority decision received on 4 October to reject CA023.</p>

6 AUTHORITY DECISIONS

- None.

ANNEX 1
STC AMENDMENT REGISTER – AS AT 10th December 2007

All documents referred to in the Amendment Register are available on the National Grid STC website.

CA027	Miscellaneous amendment as a consequential affect of CA025		
Proposer	National Grid	Submitted	Submitted Normal Priority
Amendment Description		Current status and progress	
Amend Section J to include definitions for the following terms: <ul style="list-style-type: none">- Agreement for Energisation- Agreement for Interim Operational Notification- Interim Operational Notification		The STC Committee agreed that CA027 should proceed to Assessment and Report Phase with a view to sending out to consultation for 10 business days. Consultation period closed on 5 December 2007 one response received, Amendment Report to be submitted to the Authority.	

Amend Section G to reference the revised paragraph numbering as a result of CA025

CA028	Amendment to the governance timescales		
Proposer	National Grid	Submitted	Submitted Normal Priority
Amendment Description		Current status and progress	
Amend Section B (Governance) to: <ul style="list-style-type: none">• Increase the maximum period for industry comments to be received regarding Proposed Amendment Reports to be 1 month (consultation period);• increase the maximum period for Assessment and Report Phase to 5 months from it's initiation;		The STC Committee agreed that CA028 should proceed to Assessment and Report Phase with a view to sending out to consultation for 10 business days. Consultation period closed on 5 December 2007 one response received to support the proposed amendment. Amendment Report to be submitted to the Authority.	

This amendment will retain the requirement for the STC Committee secretary to post the Proposed Amendment on the website once the STC Committee have approved it

This amendment has been written to enable Users more time during a consultation period to consider and respond to Proposed Amendments that will impact Users.

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STC AMENDMENT REGISTER – AS AT 10th December 2007

CA026

Exchange of Data Amongst Relevant Parties in Schedules 5, 6, 7, 11 and 13

Proposer	National Grid	Submitted	13/02/07	Submitted Priority	Normal
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Amendment Description

Allow the transfer of the Construction Planning Assumptions to the Transmission Owners (TOs) in Schedules 5, 6, 7, 11 and 13.

Allow the same data to be transferred to the Other Affected TO (e.g. Section D, Part 2, Paragraph 2.2.3) as provided to the Affected TO (e.g. Section D, Part 2, Paragraph 2.2.2) in Schedules 5, 6, 7 and 13.

Current status and progress

CA026 (Exchange of Data Amongst Relevant Parties in Schedules 5, 6, 7, 11 and 13) was proposed by National Grid and discussed at the February 2007 STC Committee meeting. The Committee decided that CA026 should proceed directly to the Assessment and Report Phase. The STC Committee decided in the May 2007 STC Committee meeting that we would delay the approval and publication of the proposed Amendment Report to await the outcome of what is decided for CA023 as it also may be impacted by further review of CA023. STC Committee agreed for CA026 to be sent out to consultation on 29 August for 10 business days. The STC Committee members noted that no responses for received for the consultation. The STC Committee agreed for CA026 to proceed to the Authority for determination. Authority decision received on the 22 October 2007 to reject CA026.

CA025

Amendment to STC regarding obligations in Connection Site Specification Submissions

Proposer	National Grid	Submitted	13/02/07	Submitted Priority	Normal
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Amendment Description

Amendment to Section D, Part 1, Paragraph 2.6 such that when the TO has any new/revised information regarding the Connection Site Specification (CSS) then it shall submit a revised CSS to NGET.

Insert new provisions in Section D, Part 2 after paragraph 10, which would require the TOs to submit a new/revised Connection Site Specifications to NGET prior to the commissioning of a project.

Current status and progress

CA025 (Amendment to STC regarding obligations in Connection Site Specification Submissions) was proposed by National Grid and discussed at the February 2007 STC Committee meeting. The Committee decided that CA025 should proceed directly to the Assessment and Report Phase. The Committee decided that CA025 should proceed directly to the Assessment and Report Phase. The STC Committee reviewed and signed-off the Proposed Amendment Report at May's STC Committee meeting. Proposed Amendment Report circulated to industry for consultation on 23rd May 2007. Consultation period closes on 7th June 2007. Amendment Report sent to Ofgem on the 20th June 2007. Ofgem approved CA025 on the 23rd July 2007, and was implemented date on 30th July 2007.

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STC AMENDMENT REGISTER – AS AT 10th December 2007

CA024

Clarification of Construction Planning Assumption Data Requirements

Proposer

National Grid

Submitted

13/02/07

**Submitted
Priority**

Normal

Amendment Description

Amend Section D, Part 2, Paragraph 3.1 such that the baseline for assessing NGET Construction Applications is the 'contracted background' as described in PC.5.4 of the Grid Code.

Amend Section D, Part 2, Paragraph 3.3 such that the latest submitted version of the 'contracted background' becomes the default data to be used when assessing a NGET Construction Application.

Current status and progress

CA024 (Clarification of Construction Planning Assumption Data Requirements) was proposed by National Grid and discussed at the February 2007 STC Committee meeting. The Committee decided that CA024 should proceed directly to the Assessment and Report Phase. The STC Committee decided in the May 2007 STC Committee meeting that we would delay the approval and publication of the proposed Amendment Report to await the outcome of what is decided for CA023 as it also may be impacted by further review of CA023. STC Committee agreed for CA026 to be sent out to consultation on 29 August for 10 business days.

The STC Committee members noted that no responses for received for the consultation. The STC Committee agreed for CA026 to proceed to the Authority for determination.

Authority approved CA024 on the 22 October 2007 with an implementation date of 29 October 2007.

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STC AMENDMENT REGISTER – AS AT 10th December 2007

CA023

Exchange of Best View Investment Planning Data Outside the Boundary of Influence

Proposer

National Grid

Submitted

13/02/07

**Submitted
Priority**

Normal

Amendment Description

This amendment proposes changes to Schedule 3 and Schedule 14 of the STC. The changes would allow National Grid to release the full GB Investment Planning models, including the Investment Planning Ranking Orders and Investment Planning network models, to the TOs for the purposes of investment planning.

Current status and progress

CA023 (Exchange of Best View Investment Planning Data Outside the Boundary of Influence) was proposed by National Grid and discussed at the February 2007 STC Committee meeting. The Committee decided that CA023 should proceed directly to the Assessment and Report Phase. The STC Committee reviewed and signed-off the Proposed Amendment Report at April's STC Committee meeting. Proposed Amendment Report circulated to industry for consultation on 23rd May 2007. Consultation period closed on 17th May 2007. One consultation response was received from E.ON UK which raises some issues that need to be resolved before the Amendment Report can be approved. The Grid Code may need to be reviewed in addition to the STC for the proposed amendment. The STC Committee will discuss the impact of the response at the June STC Committee meeting. An Extraordinary GCRP meeting was held on 31st July to discuss the impact of CA023 on the Grid Code with a view to progressing a modification to the Grid Code. It is planned that the submission of the Amendment Report for CA023 coincides with the date of such a Grid Code modification anticipated at the end of December 2007. Sent to Authority for determination on 21st August 2007. Authority decision received on 4 October 2007, to reject CA023.