



**PROGRESS REPORT ON
PROPOSED AMENDMENTS TO THE SYSTEM
OPERATOR – TRANSMISSION OWNER CODE**
November 2006

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ANNEX 1 - STC AMENDMENT REGISTER AS AT 5th December 2006

1 INTRODUCTION

This Progress Report is prepared in accordance with Section B, Paragraph 7.2.8 of the STC and provides inter alia, details of current Amendment Proposals and matters arising during the preceding month together with details of key decisions made at the STC Committee meeting held on 21st November 2006 regarding the prioritisation and timetabling of Amendment Proposals.

2 DETAILS OF AMENDMENT PROPOSALS REFUSED

This section details Amendment Proposals refused by the Committee Secretary or by the STC Committee (in accordance with Section B, Paragraph 7.2.2.2 of the STC).

- None

3 NEW AMENDMENT PROPOSALS

- None

4 AMALGAMATIONS

This section contains details of any decision of the STC Committee to amalgamate Amendment Proposals in accordance with Section B, Paragraph 7.2.3.1 of the STC.

- None.

5 SCHEDULING AND TIMETABLES

This section of the Progress Report is intended to give a high level timetable for each Amendment Proposal in progress. Specific milestone dates and key phase dates will be entered when the information is available. The shaded area is intended to show progress up to present.

Ref.	TITLE	Presented at STC Committee Meeting	Evaluation Phase Concluded	Proposed Amendment Report Approved	Proposed Amendment Report Published	Consultation Period Concluded	Amendment Report Submitted to Authority	Authority Determination	Date of Implementation	Comments on changes, slippages and impact on implementation date
CA022	Amendments to Section H resulting from CA013	21/08/06	N/A	22/09/06	22/09/06	06/10/06	12/10/06	13/11/06	20/11/06	CA022 (Amendments to Section H resulting from CA013) was proposed by National Grid and discussed at the August STC Committee meeting. The Committee decided that CA022 should proceed directly to the Assessment and Report Phase. The Committee reviewed and signed-off the Proposed Amendment Report at September's STC Committee meeting. Proposed Amendment Report circulated to the industry for consultation on 22 nd September 2006. Consultation period closes on 6 th October 2006. No consultation responses received. Final Amendment Report submitted to Authority on 12 th October 2006. Authority approved CA022 on 13 th November 2006. CA022 was implemented on 20 th November 2006.

STC Amendments Progress Report
November 2006

Ref.	TITLE	Presented at STC Committee Meeting	Evaluation Phase Concluded	Proposed Amendment Report Approved	Proposed Amendment Report Published	Consultation Period Concluded	Amendment Report Submitted to Authority	Authority Determination	Date of Implementation	Comments on changes, slippages and impact on implementation date
CA021	Exchange of Certain Investment Planning Data	18/04/06	17/05/ 06	26/06/06	27/06/06	11/07/06	14/07/06	08/08/06	15/08/06	CA021 Exchange of Certain Investment Planning Data was proposed by National Grid and was discussed at the 18 th April 2006 STC Committee meeting. The Committee decided to take forward CA021 to the Evaluation Phase. A meeting was held on 8 th May 2006 to discuss further. The Committee AGREED at the 17 th May 2006 meeting that the Evaluation phase was complete. The Committee reviewed and signed-off the Proposed Amendment Report. Sent out for Industry consultation on 27 th June 2006. Close of consultation on 11 th July 2006. No consultation responses received. Final Amendment Report submitted to Authority on 14 th July 2006. Authority approved CA021 on 8 th August 2006. CA021 was implemented on 15 th August 2006.
CA020	GB Transmission System Performance Reporting	22/03/06	18/04/ 06	17/05/06	22/05/06	06/06/06	20/06/06	24/07/06	31/07/06	CA020 GB Transmission Performance Reporting was proposed by SPTL and discussed at the March 2006 STC Committee meeting. The STC Committee decided for CA020 to go forward to the Evaluation phase. A teleconference took place on 11 th April 2006 to discuss the legal text. At the 18 th April 2006 STC Committee meeting the Committee AGREED for CA020 to move to Assessment and Report Phase. The Committee reviewed and signed-off the Proposed Amendment Report. Sent out for Industry consultation on 22 nd May 2006. Close of consultation on 6 th June 2006. No consultation responses received. Final Report submitted to Authority on 20 th June 2006. Authority approved CA020 on 24 th July 2006. CA020 was implemented on 31 st July 2006.

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November 2006

Ref.	TITLE	Presented at STC Committee Meeting	Evaluation Phase Concluded	Proposed Amendment Report Approved	Proposed Amendment Report Published	Consultation Period Concluded	Amendment Report Submitted to Authority	Authority Determination	Date of Implementation	Comments on changes, slippages and impact on implementation date
CA019	Assessment of the Impact of Certain Embedded Power Stations	21/02/06	01/03/06	03/04/06	04/04/06	20/04/06	27/04/06	14/06/06	N/A	CA019 was discussed at the February Committee meeting. CA019 and Alternative have been proposed by SPTL as alternatives to CA017 and Alternative. This is linked to CA016 and CA017, and follows discussions at the CA016/17 working group held on 09/01/06, 01/02/06 and 15/02/06. At the March Committee meeting it was decided a Teleconference will be held to signoff the Proposed Amendment Report. A teleconference took place on 3rd April 2006. Committee decided to send out for Industry Consultation – Industry Consultation closed on 20th April 06. One Response was received. At the STC Committee meeting on 27th April 2006 the Committee AGREED to submit to the Authority. Amendment Report Submitted to Authority on 27th April 2006. The Authority rejected CA019 on 14 th June 2006 (In line with STC recommendation)
CA018	Removal of the Restriction on Proposing Alternative Amendments	21/02/06	N/A	22/03/06	23/03/06	06/04/06	19/04/06	31/05/05	07/06/06	CA018 Removal of the Restriction on Proposing Alternative Amendments was discussed at the February Committee. The Committee decided that CA018 could proceed to the Assessment & Report Phase. At the March Committee meeting the Committee decided the Proposed Amendment Report to be sent for consultation for 10 business days. Consultation closed on 6th April 2006. No industry responses received. STC Committee on 18th April 2006 decided to submit to the Authority. Submitted to Authority on 19th April 2006. Authority approved CA018 on 31 st May 2006. Implementation on 7 th June 2006.

STC Amendments Progress Report
November 2006

Ref.	TITLE	Presented at STC Committee Meeting	Evaluation Phase Concluded	Proposed Amendment Report Approved	Proposed Amendment Report Published	Consultation Period Concluded	Amendment Report Submitted to Authority	Authority Determination	Date of Implementation	Comments on changes, slippages and impact on implementation date
CA017	Assessment of the Impact of Certain Embedded Power Stations	20/12/05	01/03/ 06	03/04/06	04/04/06	20/04/06	27/04/06	14/06/06	N/A	CA017 was presented and discussed at the December Committee Meeting. The Committee decided that CA017 should proceed to the Evaluation Phase. The working group met on 09/01/06, 01/02/06 and 15/02/06 in order to discuss & develop legal text. At the March Committee meeting it was decided a Teleconference will be held to signoff the Proposed Amendment Report. A teleconference took place on 3rd April 2006. Committee decided to send out for Industry Consultation – Industry Consultation closed on 20th April 06. One Response was received. At the STC Committee meeting on 27th April 2006 the Committee AGREED to submit to the Authority. Amendment Report Submitted to Authority on 27th April 2006. The Authority rejected CA017 on 14 th June 2006 (In line with STC recommendation)

STC Amendments Progress Report
November 2006

Ref.	TITLE	Presented at STC Committee Meeting	Evaluation Phase Concluded	Proposed Amendment Report Approved	Proposed Amendment Report Published	Consultation Period Concluded	Amendment Report Submitted to Authority	Authority Determination	Date of Implementation	Comments on changes, slippages and impact on implementation date
CA016	Modification Applications in Respect of Certain Embedded Power Stations	20/12/05	01/03/06	03/04/06	04/04/06	20/04/06	27/04/06	14/06/06	14/07/06	CA016 was presented and discussed at the December Committee Meeting. The Committee decided that CA016 should proceed to the Evaluation Phase. The working group met on 09/01/06, 01/02/06 and 15/02/06 in order to discuss & develop legal text. At the March Committee meeting it was decided a Teleconference will be held to signoff the Proposed Amendment Report. A teleconference took place on 3rd April 2006. Committee decided to send out for Industry Consultation – Industry Consultation closed on 20th April 06. One Response was received. At the STC Committee meeting on 27th April 2006 the Committee AGREED to submit to the Authority. Amendment Report Submitted to Authority on 27th April 2006. Ofgem have approved CA016 Alternative Amendment on 14 th June 2006. Implementation date of 14th July 2006.

6 AUTHORITY DECISIONS

- The Authority approved CA022 (Amendments to Section H resulting from CA013) on 13th November 2006, for implementation on 20th November 2006

ANNEX 1
STC AMENDMENT REGISTER – AS AT 5th December 2006

All documents referred to in the Amendment Register are available on the National Grid STC website.

CA022		Amendments to Section H resulting from CA013			
Proposer	National Grid	Submitted	14/08/06	Submitted Priority	Normal
Amendment Description		Current status and progress			
<p>The Amendment Proposal proposes to add two additional sub-paragraphs to Section H (Dispute Resolution) of the STC that were inadvertently omitted during the development of CA013 (TEC Exchange Rate Request Process).</p> <p>CA013 added a new Part Three of Section D to the STC, including two paragraphs, 1.4 and 2.7 which described matters that would be potentially disputable in accordance with paragraph 4.1 of Section H. Section H is structured so that all such disputable matters are listed in paragraphs 4.3 and 4.4. The new paragraphs introduced by CA013 should have therefore been listed in paragraph 4.4 of Section H but this change was inadvertently omitted.</p> <p>CA022 proposes to correct this by adding two additional sub-paragraphs, 4.4.14 and 4.4.15, to Section H, which will refer to paragraphs 1.4 and 2.7 of Section D, Part Three, respectively. It will also make it clear that disputes in reference to TEC Exchange fall under the provisions of paragraph 4.4 and not 4.3.</p>		<p>CA022 (Amendments to Section H resulting from CA013) was proposed by National Grid and discussed at the August STC Committee meeting. The Committee decided that CA022 should proceed directly to the Assessment and Report Phase.</p> <p>The Committee reviewed and signed-off the Proposed Amendment Report at September's STC Committee meeting. Proposed Amendment Report circulated to the industry for consultation on 22nd September 2006. Consultation period closes on 6th October 2006.</p> <p>No consultation responses received. Final Amendment Report submitted to Authority on 12th October 2006.</p> <p>Authority approved CA022 on 13th November 2006. CA022 was implemented on 20th November 2006.</p>			

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STC AMENDMENT REGISTER – AS AT 5th December 2006

CA021

Exchange of Investment Planning Data

Proposer	National Grid	Submitted	11/04/06	Submitted Priority	Normal
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Amendment Description

This amendment proposes changes to paragraph 2.4.3 (and 2.4.2) of Schedule 3 of the STC to allow Parties to disclose specific data to Transmission Owners on an enduring basis for the purposes of transmission investment planning, specifically stability studies.

The current provisions of paragraph 2.4.3 of Schedule 3 will expire on 30 September 2006. However, in the view of the proposer, there is a continuing need for this data to be provided to enable all Parties to meet their Licence obligations. It is therefore proposed that this data exchange is made permanent, but that the relevant sections of Schedule 3 are made more specific about the data that can be disclosed.

Current status and progress

CA021 Exchange of Certain Investment Planning Data was proposed by National Grid and was discussed at the 18th April 2006 STC Committee meeting. The Committee decided to take forward CA021 to the Evaluation Phase, meeting was held on 8th May 2006 to discuss further. The Committee AGREED at the 17th May 2006 meeting that the Evaluation phase was complete for CA021 and a Proposed Amendment Report should be drafted.

The Committee reviewed and signed-off the Proposed Amendment Report for Industry Consultation. Sent out for Industry consultation on 27/06/2006. Close of consultation on 11th July 2006.

No consultation responses received. Final Amendment Report submitted to Authority on 14th July 2006.

Authority approved CA021 on 8th August 2006. CA021 was implemented on 15th August 2006.

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CA020		GB Transmission System Performance Reporting			
Proposer	SPTL	Submitted	14/03/06	Submitted Priority	Normal
Amendment Description		Current status and progress			
<p>This Amendment Proposal seeks to establish a process in the STC for the development of a statement by which the GB Transmission System performance can be measured and for the production of the associated report. The production of the statement and the report are licence requirements on National Grid. It is a licence requirement on the TOs that they provide assistance to National Grid in the production of the report.</p> <p>The proposed amendment is based upon existing STC processes by which the Transmission Licensees co-operate to produce the Seven Year Statement.</p>		<p>CA020 GB Transmission Performance Reporting was proposed by SPTL and discussed at the March 2006 STC Committee meeting. The STC Committee decided for CA020 to go forward to the Evaluation phase.</p> <p>A teleconference took place on 11th April 2006 to discuss the legal text. At the 18th April 2006 STC Committee meeting the Committee AGREED for CA020 to move to Assessment and Report Phase.</p> <p>Consultation closed on 6th June 2006, no responses received. Final Amendment Report submitted to Ofgem for determination on 20/6/2006.</p> <p>Authority approved CA020 on 24th July 2006. CA020 was implemented on 31st July 2006.</p>			
CA019		Assessment of the Impact of Certain Embedded Power Stations			
Proposer	SPTL	Submitted	20/02/06	Submitted Priority	Normal
Amendment Description		Current status and progress			
<p>This Amendment Proposal seeks to establish a process in the STC for the assessment of the impact on the GB Transmission System of certain Embedded Power Stations in the licensed area, or within the boundary of influence, of a Transmission Owner.</p> <p>This Amendment Proposal reflects the Working Group Alternative Amendment 1 to CUSC Amendment Proposal CAP097, which seeks to clarify National Grid's requirements of Small and Medium Embedded Power Stations as GBSO. SPTL is also proposing an Alternative Amendment to CA019, which would facilitate the revised process proposed by the CAP097 Consultation Alternative Amendment 1 proposed by CE Electric UK.</p>		<p>CA019 was discussed at the February Committee meeting. CA019 and Alternative have been proposed by SPTL as alternatives to CA017 and Alternative. This is linked to CA016 and CA017, and follows discussions at the CA016/17 working group held on 09/01/06, 01/02/06 and 15/02/06. At the March Committee meeting it was decided a Teleconference will be held to signoff the Proposed Amendment Report.</p> <p>A teleconference took place on 3rd April 2006. Committee decided to send out for Industry Consultation – Industry Consultation closed on 20th April 06. One Response was received. At the STC Committee meeting on 27th April 2006 the Committee AGREED to submit to the Authority. Amendment Report Submitted to Authority on 27th April 2006.</p> <p>The Authority rejected CA019 on 14th June 2006 (In line with STC recommendation)</p>			

ANNEX 1
STC AMENDMENT REGISTER – AS AT 5th December 2006

CA018

Removal of the Restriction on Proposing Alternative Amendments

Proposer	National Grid	Submitted	14/02/06	Submitted Priority	Normal
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Amendment Description

This Amendment Proposal seeks to remove from the STC the restriction that each Party may only propose one Alternative Amendment. Paragraph 7.2.2.8 of Section B of the STC currently limits Parties to proposing only one Alternative Amendment. This has proved to be overly restrictive, particularly when developing STC amendments to “back-off” CUSC amendment proposals, which may contain a greater number of Alternatives.

Current status and progress

CA018 Removal of the Restriction on Proposing Alternative Amendments was discussed at the February Committee. The Committee decided that CA018 could proceed to the Assessment & Report Phase. At the March Committee meeting the Committee decided the Proposed Amendment Report to be sent for consultation for 10 business days.

Consultation closed on 6th April 2006. No industry responses received. At the STC Committee meeting on 18th April 2006 the Committee decided to submit to the Authority for determination. Amendment Report Submitted to Authority on 19th April 2006. Authority approved CA018 on 31st May 2006. Implementation date is 7th June 2006.

CA017

Assessment of the Impact of Certain Embedded Power Stations

Proposer	National Grid	Submitted	13/12/05	Submitted Priority	Normal
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Amendment Description

This Amendment Proposal seeks to establish a process in the STC for the assessment of the impact on the GB Transmission System of certain Embedded Power Stations in the licensed area, or within the boundary of influence, of a Transmission Owner.

This Amendment Proposal reflects the Working Group Alternative Amendment 1 to CUSC Amendment Proposal CAP097, which seeks to clarify National Grid’s requirements of Small and Medium Embedded Power Stations as GBSO. National Grid is also proposing an Alternative Amendment to CA017, which would facilitate the revised process proposed by the CAP097 Consultation Alternative Amendment 1 proposed by CE Electric UK.

Current status and progress

CA019 was discussed at the February Committee meeting. CA019 and Alternative have been proposed by SPTL as alternatives to CA017 and Alternative. This is linked to CA016 and CA017, and follows discussions at the CA016/17 working group held on 09/01/06, 01/02/06 and 15/02/06. At the March Committee meeting it was decided a Teleconference will be held to signoff the Proposed Amendment Report.

A teleconference took place on 3rd April 2006. Committee decided to send out for Industry Consultation – Industry Consultation closed on 20th April 06. One Response was received. At the STC Committee meeting on 27th April 2006 the Committee AGREED to submit to the Authority. Amendment Report Submitted to Authority on 27th April 2006.

The Authority rejected CA017 on 14th June 2006 (In line with STC recommendation).

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CA016	Modification Applications in Respect of Certain Embedded Power Stations				
Proposer	National Grid	Submitted	13/12/05	Submitted Priority	Normal
Amendment Description	Current status and progress				
<p>This Amendment Proposal seeks to establish a process in the STC for the assessment of the impact on the GB Transmission System of certain Embedded Power Stations in the licensed area, or within the boundary of influence, of a Transmission Owner.</p> <p>This Amendment Proposal reflects the original CUSC Amendment Proposal CAP097 (as proposed by National Grid), which seeks to clarify National Grid's requirements of Small and Medium Embedded Power Stations as GBSO. National Grid is also proposing an Alternative Amendment to CA016, which would facilitate the revised process proposed by both the CAP097 Working Group Alternative Amendment 2 and the CAP097 Consultation Alternative Amendment 3 proposed by SP Transmission & Distribution.</p>	<p>CA019 was discussed at the February Committee meeting. CA019 and Alternative have been proposed by SPTL as alternatives to CA017 and Alternative. This is linked to CA016 and CA017, and follows discussions at the CA016/17 working group held on 09/01/06, 01/02/06 and 15/02/06. At the March Committee meeting it was decided a Teleconference will be held to signoff the Proposed Amendment Report.</p> <p>A teleconference took place on 3rd April 2006. Committee decided to send out for Industry Consultation – Industry Consultation closed on 20th April 06. One Response was received. At the STC Committee meeting on 27th April 2006 the Committee AGREED to submit to the Authority. Amendment Report Submitted to Authority on 27th April 2006.</p> <p>Ofgem have approved CA016 Alternative Amendment on 14th June 2006. Implementation date of 14th July 2006.</p>				