



**PROGRESS REPORT ON  
PROPOSED AMENDMENTS TO THE SYSTEM  
OPERATOR – TRANSMISSION OWNER CODE**

*May 2009*

|                                |               |
|--------------------------------|---------------|
| <b>Issue</b>                   | May 2009      |
| Date of Issue to the Authority | 2 June 2009   |
| Version                        | 1.0           |
| Author                         | STC Committee |

## DOCUMENT CONTROL

| Version | Date     | Author        | Change Reference                   |
|---------|----------|---------------|------------------------------------|
| 1.0     | 02/06/09 | STC Committee | Version submitted to the Authority |

## DISTRIBUTION

| Name                                       | Organisation |
|--|--------------|
| STC Amendments Panel Members               | Various      |
| The Authority                              | Ofgem        |
| National Grid Industry Information Website | -            |

Document Location:

National Grid Website:

<http://www.nationalgrid.com/uk/Electricity/Codes/sotocode/Amendments>

## CONTENTS

|   |  |   |
|---|--|---|
| 1 | INTRODUCTION .....                           | 3 |
| 2 | DETAILS OF AMENDMENT PROPOSALS REFUSED ..... | 3 |
| 3 | NEW AMENDMENT PROPOSALS .....                | 3 |
| 4 | AMALGAMATIONS .....                          | 3 |
| 5 | SCHEDULING AND TIMETABLES .....              | 4 |
| 6 | AUTHORITY DECISIONS.....                     | 7 |

### ANNEX 1 - STC AMENDMENT REGISTER AS AT 2 June 2009

## **1 INTRODUCTION**

This Progress Report is prepared in accordance with Section B, Paragraph 7.2.8 of the STC and provides inter alia, details of current Amendment Proposals and matters arising during the preceding month together with details of key decisions made at the STC Committee meeting held on 28th May 2009 regarding the prioritisation and timetabling of Amendment Proposals.

## **2 DETAILS OF AMENDMENT PROPOSALS REFUSED**

This section details Amendment Proposals refused by the Committee Secretary or by the STC Committee (in accordance with Section B, Paragraph 7.2.2.2 of the STC).

- None

## **3 NEW AMENDMENT PROPOSALS**

- None

## **4 AMALGAMATIONS**

This section contains details of any decision of the STC Committee to amalgamate Amendment Proposals in accordance with Section B, Paragraph 7.2.3.1 of the STC.

- None.

## 5 SCHEDULING AND TIMETABLES

This section of the Progress Report is intended to give a high level timetable for each Amendment Proposal in progress. Specific milestone dates and key phase dates will be entered when the information is available. The shaded area is intended to show progress up to present.

| Ref.  | TITLE  | Presented at<br>STC Committee<br>Meeting | Evaluation<br>Phase<br>Concluded | Proposed<br>Amendment<br>Report<br>Approved | Proposed<br>Amendment<br>Report<br>Published | Consultation<br>Period<br>Concluded | Amendment<br>Report<br>Submitted to<br>Authority | Authority<br>Determination | Date of<br>Implementation | Comments on changes, slippages and<br>impact on implementation date   |
|-------|--|--|----------------------------------|---|--|-------------------------------------|--|----------------------------|---------------------------|---|
| CA030 | <b>Clarification of<br/>which User Data<br/>can be disclosed to<br/>a Transmission<br/>Owner</b> | 16/9/08                                  | n/a                              | 8/10/08                                     | 13/10/08                                     | 13/11/08                            | 8/12/08  | 13/1/09                    | 21/1/09                   | CA030 - Amendment to add clarity to which information and data can be exchanged between NGET and the Scottish Transmission Owners in accordance with the STC. The amendment would add the allowance of disclosure of high level results of economic studies undertaken for assign investment planning options to a Transmission Owner. The amendment would also remove the references to specific Grid Code Clauses and instead refer more generally to the Grid Code. The STC Committee considered that CA030 should be referred directly to the Assessment and Report Phase, and that the Evaluation Phase was therefore not required. The STC Committee agreed for CA030 to be circulated to the industry for a period of 1 month. The consultation is due to close on the 13 November 2008. Consultation closed on 13 November and no responses were received. The Amendment Report was submitted to the Authority on 8 <sup>th</sup> December 2008 for determination. A letter was received on the 13/1/09 confirming approval of Authority, an implementation date of the 21/1/06 was issued. |

| Ref.  | TITLE  | Presented at<br>STC Committee<br>Meeting | Evaluation<br>Phase<br>Concluded | Proposed<br>Amendment<br>Report<br>Approved | Proposed<br>Amendment<br>Report<br>Published | Consultation<br>Period<br>Concluded | Amendment<br>Report<br>Submitted to<br>Authority | Authority<br>Determination | Date of<br>Implementation | Comments on changes, slippages and<br>impact on implementation date  |
|-------|--|--|----------------------------------|---|--|-------------------------------------|--|----------------------------|---------------------------|--|
| CA031 | Definition of<br>Threshold(s)<br>associated with<br>Request of<br>Statement of Works | 18/11/08                                 | 16/02/09                         | 16/02/09                                    | 17/02/09                                     | 03/03/09                            | 18/03/09   |                            |                           | CA031 has been raised as a consequence of CUSC Amendment Proposal CAP167 which addresses the problem by developing a Relevant Embedded Small Power Station (RESPS) Methodology which will form a basis for calculating RESPS Thresholds for each Grid Supply Point. NGET shall prepare a draft RESPS Methodology in consultation with owners and operators of Distribution Systems and relevant Transmission Licensees. Following this initial consultation NGET shall publish the RESPS Methodology on the NGET website for a period of 28 days for parties to provide comments to NGET. Evaluation phase to be completed by SPT and SHETL and brought back to February STC meeting. The STC Committee approved for CA031 to proceed to Company Consultation for a period of 10 days. The Final report was submitted to Ofgem on the 18/03/09. We are now waiting for a decision. |

|              |                                  |          |  |  |  |  |  |  |  |  |  |
|--------------|----------------------------------|----------|--|--|--|--|--|--|--|--|--|
| <b>CA032</b> | <b>Local Capacity Nomination</b> | 16/03/09 |  |  |  |  |  |  |  |  | <p>As result of CUSC Amendment Proposals for Transmission Access, this proposed amendment seeks to introduce a further granularity into the works that the TO will be required to identify by identifying those Transmission Reinforcement Works that are required to deliver the Local Capacity Nomination (LCN). The Amendment Proposal was submitted to the STC Committee on 16<sup>th</sup> March 2009. The Committee are still in the process in reviewing whether this proposal should proceed to the Evaluation Stage or directly to the Assessment &amp; Report Stage.</p> |
|--------------|----------------------------------|----------|--|--|--|--|--|--|--|--|--|

**6 AUTHORITY DECISIONS**

- None.

**ANNEX 1**  
**STC AMENDMENT REGISTER – AS AT 31 May 2009**

---

All documents referred to in the Amendment Register are available on the National Grid STC website.

**CA030**

**Proposer** National Grid

**Amendment to the governance timescales**

**Submitted** **Submitted** Normal  
**Priority**

**Amendment Description**

CA030 - Amendment to add clarity to which information and data can be exchanged between NGET and the Scottish Transmission Owners in accordance with the STC.

The amendment would add the allowance of disclosure of high level results of economic studies undertaken for assign investment planning options to a Transmission Owner. The amendment would also remove the references to specific Grid Code Clauses and instead refer more generally to the Grid Code.

**Current status and progress**

The amendment proposal has been circulated to the STC Committee for comments. Comments to feed back via email and reported back at the October 2008 meeting.

The STC Committee agreed that CA030 should be referred directly to Assessment and Report Phase and therefore the evaluation phase was not required. CA030 was sent out to industry consultation on the 13 October with views requested by 13 November 2008.

Consultation closed on 13 November and responses were received.

The Amendment Report was submitted to the Authority on 8 December 2008 for determination. Approval received from the Authority with an implementation date of the 21/1/06.

**CA031**

**Proposer** National Grid

**Amendment to the governance timescales**

**Submitted** **Submitted** Normal  
**Priority**

**Amendment Description**

CA031 - has been raised as a consequence of CUSC Amendment Proposal CAP167 which addresses the problem by developing a Relevant Embedded Small Power Station (RESPS) Methodology which will form a basis for calculating RESPS Thresholds for each Grid Supply Point. NGET shall prepare a draft RESPS Methodology in consultation with owners and operators of Distribution Systems and relevant Transmission Licensees. Following this initial consultation NGET shall publish the RESPS Methodology on the NGET website for a period of 28 days for parties to provide comments to NGET.

**Current status and progress**

The amendment proposal has been circulated to the STC Committee for comments. Comments to feedback via email and reported back at the January 2009 meeting. Evaluation phase to be completed by SPT and SHETL Draft Amendment Report to be approved at the February STC meeting. The STC Committee approved for CA031 to proceed to Company Consultation for a period of 10 days. The final report was submitted to the Authority on the 18/3/09 we are now awaiting a decision.

**ANNEX 1**  
**STC AMENDMENT REGISTER – AS AT 31 May 2009**

---

| <b>CA032</b>  | <b>Amendment to the governance timescales</b>   |                  |               |
|---|---|------------------|---------------|
| <b>Proposer</b>   | <b>Submitted</b>  | <b>Submitted</b> | <b>Normal</b> |
|   |   | <b>Priority</b>  |               |
| <b>Amendment Description</b>  | <b>Current status and progress</b>  |                  |               |
| As result of CUSC Amendment Proposals for Transmission Access, this proposed amendment seeks to introduce a further granularity into the works that the TO will be required to identify by identifying those Transmission Reinforcement Works that are required to deliver the Local Capacity Nomination (LCN). | The Amendment Proposal was submitted to the STC Committee on 16 <sup>th</sup> March 2009 and is awaiting a decision on whether it will need to go to the Evaluation Phase or straight to the Assessment and Report Phase. |                  |               |